#### PLUG POWER INC

Form 4 June 14, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31,

**OMB APPROVAL** 

2005 Estimated average

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0.5

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person \* Marsh Andrew

(Middle)

(Zip)

(First)

968 ALBANY SHAKER ROAD

(Street)

(State)

06/12/2013

Symbol

Filed(Month/Day/Year)

3. Date of Earliest Transaction (Month/Day/Year)

PLUG POWER INC [PLUG]

2. Issuer Name and Ticker or Trading

06/12/2013

4. If Amendment, Date Original

Code V

P

(Check all applicable)

5. Relationship of Reporting Person(s) to

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

President & CEO 6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

LATHAM, NY 12110

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year) 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

or

(D)

Owned Following Reported Transaction(s) (Instr. 3 and 4) Price

211,335

Securities

Beneficially

\$ 172,870 0.21 (1) (2)

Amount

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of

D

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: PLUG POWER INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Troporting of the Francisco	Director	10% Owner	Officer	Other			
Marsh Andrew 968 ALBANY SHAKER ROAD LATHAM, NY 12110	X		President & CEO				

## **Signatures**

/s/ Andrew
Marsh

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were issued to Mr. Marsh in lieu of a portion of cash salary pursuant to an existing agreement between the Company and Mr. Marsh.
- The price reported in column 4 is a weighted average price. These shares were acquired in multiple transactions at prices ranging from \$0.12 to \$0.68, inclusive. The reporting person undertakes to provide to Plug Power Inc., security holders of Plug power Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares acquired at each separate price within the range specified above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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