

IANTOSCA JOSEPH R  
Form 4  
March 07, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
IANTOSCA JOSEPH R

2. Issuer Name **and** Ticker or Trading  
Symbol

OCEANFIRST FINANCIAL CORP  
[OCFC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

110 WEST FRONT STREET

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)

03/05/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)

EVP, CIO, OceanFirst Bank

RED BANK, NJ 07701

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|--|--|--|---|
|                                       |   |   | Code                                 | V  | Amount<br>(A)<br>or<br>(D)   | Price  |   |
| Common<br>Stock                       | 03/05/2019                              |   | S                                    |  | 2,475  | D \$ 25  | 54,302 D <sup>(1)</sup>   |
| Common<br>Stock                       |   |   |                                      |  |  |  | 21,358 I By 401(k)  |
| Common<br>Stock                       |   |   |                                      |  |  |  | 13,321 I BY ESOP  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Pri<br>Deriv<br>Secur<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|------------------------------------|
|   |  |   |   | Code                                 | V  | (A)  | (D)   |                                    |
| Stock<br>Option<br>(right to<br>buy)                | \$ 14.55   |   |   |                                      |  | 06/17/2014   | 06/17/2023  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 11,250                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 17.75   |   |   |                                      |  | 03/01/2015   | 03/19/2024  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 24,375                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 17.37   |   |   |                                      |  | 03/01/2016   | 03/18/2025  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 30,000                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 17.28   |   |   |                                      |  | 03/01/2017   | 03/16/2026  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 37,500                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 29.01   |   |   |                                      |  | 03/01/2018   | 03/15/2027  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 45,000                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 27.4  |   |   |                                      |  | 03/01/2019   | 01/24/2028  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 15,485                             |
| Stock<br>Option<br>(right to<br>buy)                | \$ 25.2  |   |   |                                      |  | 03/01/2020   | 03/01/2029  | Common<br>Stock                    |
|   |  |   |   |                                      |  |  |   | 50,335                             |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |         |                           |
|--|---------------|-----------|---------|---------------------------|
|  | Director      | 10% Owner | Officer | Other                     |
| IANTOSCA JOSEPH R<br>110 WEST FRONT STREET<br>RED BANK, NJ 07701 |               |           |         | EVP, CIO, OceanFirst Bank |

## Signatures

/s/ Steven J. Tsimbinos, Power of Attorney

03/07/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of a portion of vesting restricted stock to pay associated taxes. Total includes unvested restricted stock.
- (2) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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