Edgar Filing: CAPITAL SOUTHWEST CORP - Form 4

CORIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB	Form 4 October 21,		ORP							PPROVAL	
Check this box if no longer section 16. SECURITIES Sector 17(a) of the Securities Exchange Act of 1934, obligations nay continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. (Name and Address of Reporting Person 2. (Last) (First) (Middle) 3. Date of Earliest Transaction (MarTIN GARY L 2005 Symbol CAPITAL SOUTHWEST CORP (CSWC] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 10/19/2009 (Street) 4. If Amendment, Date Original Field(Month/Day/Year) 4. If Amendment, Date Original Field(Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) (Jast: 8. (Jass, 4. and 5) Owned (Inst: 3. and 4) (A) Cap Preson (Inst: 4.) (Inst: 4.) (A) Cap Preson (Inst: 6.) (Inst: 4.) (Inst: 4.) (Inst: 4.) (A) Cap Preson (Inst: 4.) (Inst: 4.) (A) Cap Preson who respond to the Collection of SEC 1474 Information contained in this form are not (SPC) (202) (SPC) (SPC) (S	FORM	14 UNITED	STATES							3235-0287	
1. Name and Address of Reporting Person : 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 12900 PRESTON ROAD, SUITE 700 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Investment Company Act of							DWNERSHIP OF ange Act of 1934, ct of 1935 or Section			
MARTIN GARY L Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 12900 PRESTON ROAD, SUTE 10/19/2009	(Print or Type	Responses)									
12900 PRESTON ROAD, SUITE 700 (Month/Day/Year) 10/19/2009		Symbol CAPITAL SOUTHWEST CORP				Issuer					
	12900 PRE	3. Date of Earliest Transaction (Month/Day/Year)			XOfficer (give titleOther (specify below)						
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities (D) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Form: Direct (D) or Indirect (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) 0. Ownership (Instr. 4) 7. Nature of Form: Direct (D) or Indirect Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Instr. 3 and 4) SEC 1474 (9-02) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1474 (9-02) SEC 1474 (9-02)	Filed(N							Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting			
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities Disposed of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities Disposed of (D) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect Reported (Month/Day/Year) (Month/Day/Year) 6. Ownership (Instr. 4) 6. Ownership (D) or Indirect 8. Reported (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 4) (Instr. 4) Reported (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) Reported (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control SEC 1474			(Zip)	Tah	le I - Non-I	Derivative	Securities A		of or Beneficia	lly Owned	
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB controlSEC 1474 (9-02)	Security		Execution any	ed Date, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	Reminder: Rej					Perso inforn requir displa numb	ns who res nation cont red to respo nys a curren er.	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm ontrol		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securi

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Y	ear)	(Instr. 3 and	4)
				Code V	Y (A) (D)	Date Exercisable	Expiration Date	Title	Ame or Nun of Shai
Non-qualified Stock Option	\$ 76.74	10/19/2009		А	18,750	10/19/2010	10/19/2019	Common Stock	Ĺ

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships						
1 8	Director	10% Owner	Officer	Other				
MARTIN GARY L 12900 PRESTON ROAD SUITE 700 DALLAS, TX 75230	Х		President, Chairman of Board					
Signatures								
/s/ Gary L. Martin	10/21/2009							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercisable in five (5) annual cumulative installments of 3,750 shares beginning 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.