**NIC INC** Form 4 September 20, 2011

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARTLEY ROSS C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(Middle)

NIC INC [EGOV]

(Check all applicable)

C/O NIC INC., 25501 WEST

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

09/16/2011

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

VALLEY PARKWAY, SUITE 300

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**OLATHE, KS 66061** 

(City)	(State)	(Zip) Table	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4) 47,577	D	
Stock							17,077	2	
Common Stock							175,992	I	(1) <u>(1)</u>
Common Stock	09/16/2011		S(2)	12,800	D	\$ 11.5	2,521,755	I	(3) <u>(3)</u>
Common Stock	09/16/2011		S(2)	2,342	D	\$ 11.51	2,519,413	I	(3) <u>(3)</u>
Common Stock	09/16/2011		S(2)	200	D	\$ 11.52	2,519,213	I	(3) <u>(3)</u>

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Common Stock	09/16/2011	S(2)	900	D	\$ 11.53 2,518,313	I	(3) <u>(3)</u>
Common Stock	09/19/2011	S(2)	400	D	\$ 11.5 2,517,913	I	$(3)\frac{(3)}{}$
Common Stock	09/19/2011	S(2)	100	D	\$ 11.51 2,517,813	I	$(3)\frac{(3)}{}$
Common Stock	09/19/2011	S(2)	200	D	\$ 11.52 2,517,613	I	$(3)\frac{(3)}{(3)}$
Common Stock	09/19/2011	S(2)	100	D	\$ 11.53 2,517,513	I	$(3)\frac{(3)}{(3)}$
Common Stock	09/19/2011	S(2)	600	D	\$ 11.54 2,516,913	I	$(3)\frac{(3)}{(3)}$
Common Stock	09/19/2011	S(2)	580	D	\$ 2,516,333	I	$(3)\frac{(3)}{(3)}$
Common Stock	09/19/2011	S(2)	400	D	\$ 2,515,933	I	$(3)\frac{(3)}{}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Owne Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2.	3. Transaction Date		4.	5.	6. Date Exercisable		7. Title		8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		onNumber	Expiration Date		Amou		Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year	.)	Under	, ,	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date Exp	oiration		or	
							Date		Number	
						Ziti Cidalore Du			of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

HARTLEY ROSS C
C/O NIC INC.
25501 WEST VALLEY PARKWAY, SUITE 300
OLATHE, KS 66061

### **Signatures**

Aimi Daughtery, Attorney-in-Fact for Ross C. Hartley

09/20/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a trust for the benefit of the Reporting Person's child, in which the Reporting Person is the trustee.
  - All of the transactions reported on this form are program transactions pursuant to a Rule 10b5-1 plan under the Securities Exchange Act of 1934, as amended, entered into on August 8, 2011, by Ross C. Hartley Family Investments, LLC, a Wyoming limited liability
- (2) company, partly owned by Ross C. Hartley, 63, co-founder and a director of NIC Inc. The sales under the plan are intended to diversify the holdings of the limited liability company in furtherance of the family's estate panning goals for Mr. and Mrs. Hartley. Ross C. Hartley Family Investments, LLC will be able to sell up to 589,773 shares of common stock under the plan beginning August 22, 2011, and ending no later than January 31, 2012.
- (3) Shares held by Ross C. Hartley Family Investments, LLC, in which the Reporting Person's spouse holds a majority of the voting interest. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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