

APPLIED OPTOELECTRONICS, INC.

Form 8-K

June 10, 2014

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D. C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 4, 2014

Applied Optoelectronics, Inc.

(Exact name of Registrant as specified in its charter)

Delaware 001-36083 76-0533927
(State or incorporation (Commission File Number) (I.R.S. Employer Identification No.))

13115 Jess Pirtle Blvd.

Sugar Land, TX 77478

(address of principal executive offices and zip code)

(281) 295-1800

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

Applied Optoelectronics, Inc. (the “Company”) held its 2014 annual meeting of stockholders on June 4, 2014. Holders of an aggregate of 14,766,135 shares of the Company’s common stock at the close of business on April 8, 2014 were entitled to vote at the meeting, of which 9,328,928, or 63.17%, of the eligible shares were represented in person or by proxy. The matters voted upon at the meeting and the results of those votes were as follows:

Proposal 1: Election of Class I Directors

| | Votes For | Votes Against | Votes Abstaining | Broker Non-Votes |
|-------------|------------------|----------------------|-------------------------|-------------------------|
| Alan Moore | 6,486,832 | 0 | 614,655 | 2,227,441 |
| Che-Wei Lin | 6,436,228 | 0 | 665,259 | 2,227,441 |

Proposal 2: Ratification of the appointment of Grant Thornton LLP as independent registered public accounting firm for the fiscal year ending December 31, 2014

| Votes For | Votes Against | Votes Abstaining |
|------------------|----------------------|-------------------------|
| 9,326,080 | 2,573 | 275 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Applied Optoelectronics, Inc.

By: /s/ David C. Kuo

David C. Kuo

General Counsel and Vice President

Date: June 10, 2014