ALLIANT ENERGY CORP Form U-9C-3 November 22, 2002

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company Act of 1935

ALLIANT ENERGY CORPORATION

4902 N. Biltmore Lane

Madison, Wisconsin 53718-2132

608-458-3311

ALLIANT ENERGY CORPORATION

FORM U-9C-3

For the Quarter Ended September 30, 2002

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ITEM 1 - ORGANIZATION CHART (As of September 30, 2002)

Name of Company (Organization Chart Hierarchy) (Inactive companies denoted with (*)) (Reporting companies denoted with (**))	Energy- or Gas- Related Company	Date of Organization	State c
Alliant Energy Corporation	N/A	N/A	N/A
Wisconsin Power and Light Company	N/A	N/A	N/A
Dais Analytic Corporation (**)	Energy	4/19/93	New Yor
Alliant Energy Resources, Inc.	N/A	N/A	N/A
ADI Thermal Power, Corp. (**)	Energy	8/11/97	Washingt
American Superconductor Corporation (**)	Energy	4/9/87	Delawar
AnAerobics, Inc. (**) (NEW)	Energy	5/8/96	Delawar
AstroPower, Inc. (**)	Energy	4/28/89	Delawar
CellTech Power, Inc. (**)	Energy	5/1/00	Massachus
Nth Power Technologies Fund II, LP (**)	Energy	2/25/00	Californ
Proton Energy Systems, Inc. (**) (Also owned by AER Holding Company)	Energy	8/16/96	Delawar
SmartEnergy, Inc. (**)	Energy	11/3/00	Delawar
STM Power, Inc. (**) (NEW)	Energy	4/1/93	Michiga
AER Holding Company	N/A	N/A	N/A
Henwood Energy Services, Inc. (**)	Energy	8/9/85	Califorr

Proton Energy Systems, Inc. (**) (Also owned by Alliant Energy Resources, Inc.)	Energy	8/16/96	Delawar
Alliant Energy Integrated Services Company	N/A	N/A	N/A
Industrial Energy Applications, Inc. (**)	Energy	9/22/83	Iowa
BFC Gas Company LC (**)	Energy	12/7/95	Iowa
Energys, Inc. (**)	Energy	6/25/84	Wisconsi
RMT, Inc. (**)	Energy	7/29/83	Wisconsi
Residuals Management Technology, Inc., Ohio (**)	Energy	1/22/97	Ohio
RMT North Carolina, Inc. (**)	Energy	4/6/69	North Car
RMT, Inc., Michigan (**)	Energy	12/15/95	Michiga
RMT International, Inc. (**)	Energy	10/9/97	Wisconsi
Heartland Energy Group, Inc. (**)	Energy	6/1/95	Wisconsi
Industrial Energy Applications Delaware Inc.	N/A	N/A	N/A
Oak Hill Pipeline LP (**)	Energy	4/1/97	Texas
Alliant South Texas Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	4/20/99	Texas
Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	2/14/00	Delawar
Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	8/31/00	Texas
Alliant Energy Field Services, LLC	N/A	N/A	N/A
	Energy	4/20/99	Texas
3			
Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	2/14/00	Delawan
Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	8/31/00	Texas
NG Energy Trading, LLC (**)	Energy	9/26/00	Oklahor
Alliant Energy Integrated Services -			

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gy 1/1/01 Iowa gy 6/22/82 Minnesot gy 7/2/85 Florida gy 1/1/01 Iowa
gy 7/2/85 Florida gy 1/1/01 Iowa
gy 1/1/01 Iowa
gy 12/17/93 Pennsylva
gy 9/26/83 Massachus
gy 9/29/95 Delawar
gy 5/4/90 Massachus
gy 11/13/90 Massachus
gy 9/26/83 Massachus
gy 10/11/94 Canada
gy 12/16/97 Canada
gy 7/8/97 Canada
gy 1/7/94 Massachus
A N/A N/A
A N/A N/A
gy 4/19/99 Wisconsi
A N/A N/A
gy 10/14/99 Colorad
A N/A N/A
gy 4/27/99 Iowa

 ${\rm N/A}$ - Not applicable

⁽a) The following abbreviations were used:

Abbreviation	Nature of Business

(i) Energy management services

(ii) Development and commercialization of innovative electro technologies

(v) - 1	Brokering and marketing of energy commodities - natural gas
(v) - 2	Brokering and marketing of energy commodities - electricity
(v) - 3	Brokering and marketing of energy commodities - natural gas and electricity
(vi)	Production of alternative fuels
(vii) - 1	Environmental licensing, testing, and remediation services
(vii) - 2	Integrated turbine and generator services
(ix) - 1	Fuel transportation facilities services
(ix) - 2	Fuel handling and storage facilities services
(ix) - 3	Waste water treatment

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ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS: Investment level at September 30, 2002

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power, Corp.	Common stock	\$200	Alliant Ene
Alliant Energy Desdemona, LP	Partnership capital	\$1,569	Industrial
	Partnership capital	\$1	Alliant Ene
Alliant Energy Integrated Services -			
Energy Management LLC	Money pool borrowings	\$12 , 889	Alliant Ene
Alliant Energy Integrated Services -			
Energy Solutions LLC	Money pool borrowings	\$4 , 970	Alliant Ene
Alliant South Texas Pipeline, LP	Partnership capital	\$6,140	Industrial
	Partnership capital	\$9	Alliant Ene
American Superconductor Corporation	Common stock	\$963	Alliant Ene
AnAerobics, Inc.	Preferred stock	\$1 , 333	Alliant Ene
AstroPower, Inc.	Common stock	\$497	Alliant Ene
BFC Gas Company LC	Capital	\$2 , 109	Industrial
CellTech Power, Inc.	Series A preferred stock		Alliant Ene
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$72 , 652	Alliant Ene
Dais Analytic Corporation	Common stock	\$90	Wisconsin F
Energys, Inc.	Common stock	\$8 , 746	Industrial
	Money pool borrowings	\$777	Alliant Ene
Energy Performance Services, Inc.	Money pool borrowings	\$17 , 158	Alliant Ene
Heartland Energy Group, Inc.	Common stock	\$5 , 011	Alliant Ene
	Money pool borrowings	\$21 , 096	Alliant Ene
Henwood Energy Services, Inc.	Common stock	\$1 , 342	AER Holding
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant Ene
	Money pool borrowings	\$26 , 646	Alliant Ene
New River Synfuel LLC	Capital	\$4,046	Alpha Synfu
NG Energy Trading, LLC	Capital	\$2 , 250	Heartland E
Nth Power Technologies Fund II, LP	Partnership capital	\$5 , 500	Alliant Ene
Oak Hill Pipeline LP	Partnership capital	\$2 , 279	Industrial
Proton Energy Systems, Inc.	Common stock	\$499	Alliant Ene
	Common stock	\$22	AER Holding
ReGENCO LLC	Class A units	\$1,083	Heartland E
	Class B units	\$667	Heartland E
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11 , 822	Alliant Ene
SmartEnergy, Inc.	Common stock	\$15 , 000	Alliant Ene
	Bridge loan	\$21 , 795	Alliant Ene
STM Power, Inc.	Preferred stock	\$2 , 500	Alliant Ene

Williams Bulk Transfer Inc.	Common stock	\$1	Alliant Ene
	Money pool borrowings	\$4 , 807	Alliant Ene

(1) Associate companies.

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ITEM 3 - ASSOCIATE TRANSACTIONS
For the Quarter Ended September 30, 2002

Part I - Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company	Associate Company	Types of	Direct Costs	Indirect Costs	C
Rendering	Receiving	Services	Charged	Charged	
Services	Services	Rendered		(in thousands)	(in
RMT	WP&L	Environmental consulting	\$449	\$-	
RMT	IEA	Environmental consulting	35	_	
RMT	IP&L	Environmental consulting	120	-	
RMT	Resources	Environmental consulting	42	_	
RMT	Barge	Environmental consulting	3	_	
RMT	AE Generation	Environmental consulting	1	_	
HES	WP&L	Software license	22	_	

Part II $\--$ Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)	(i
RMT	IEA	Environmental consulting	\$35	\$-	

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
AE Generation	Alliant Energy Generation, Inc. IEI Barge Services, Inc. Henwood Energy Services, Inc.	IP&L	Interstate
Barge		Resources	Alliant Ene
HES		RMT	RMT, Inc.

IEA	Industrial Energy Applications, Inc.	WP&L	Wisconsin
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ITEM 4 - SUMMA (Dollars in th	ARY OF AGGREGATE INVESTMENT nousands)		
Investments in	n energy-related companies:		
Total consolid	dated capitalization as of September 30, 200)2 (a)	\$4,982
) million or total capitalization multiplied		
	aggregate investment subsequent to April 21		
major line of Energy-re Energy-re Energy-re	energy-related business): elated business category i elated business category ii elated business category ii elated business category iii elated business category iv	., 1990 (Categorized 2,	117 15
Energy-re Energy-re	elated business category iv elated business category v elated business category vi elated business category vii		61 12 2
Energy-re Energy-re	elated business category viii elated business category ix elated business category x		240 14
Tota	al current aggregate investment		464
	tween the greater of \$50 million or 15% of c te investment of the registered holding comp Line 4)		\$282
Investments in	n gas-related companies:		
Total current gas-related bu	aggregate investment (categorized by major	line of	
Gas-relat	ted business category i ted business category ii		\$- -
	l current aggregate investment	-	 \$-
			' T

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt

(variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$128,875,000 of payments and \$111,358,000 of commitments for future payments required to purchase generation equipment that Alliant Energy intends to use to develop one or more qualifying facilities.

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ITEM 5 - OTHER INVESTMENTS
(Dollars in thousands)

Major Line Of Energy-Related Business	In Last U-9C-3 Report	Investment	Reas In
Energy-related business category i*			
Henwood Energy Services, Inc.	\$1 , 342	\$1 , 342	No change.
Industrial Energy Applications, Inc.	47,133	47,133	No change.
Energy-related business category v*			
Cargill-Alliant, LLC	\$5,000	\$0	In the third quar Corporation sold Cargill-Alliant,
Heartland Energy Group, Inc.	3,289	3,289	No change.
Energy-related business category vi*			
BFC Gas Company LC	\$2,569	\$2,109	In the third quar made a distributi Applications, Inc
Energy-related business category vii*			
RMT, Inc. (including subsidiaries)	\$11,822	\$11 , 822	No change.
Energy-related business category ix*			
Oak Hill Pipeline LP	\$2 , 725	\$2,279	In the third quar made a distributi Applications Dela

^{*} Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS
List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

- 1. Exhibit A Certificate of Alliant Energy Corporation
- Copies of contracts required by Item 3: None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 22nd day of November 2002.

ALLIANT ENERGY CORPORATION
-----Registrant

By: /s/ John E. Kratchmer
----John E. Kratchmer

Vice President-Controller and Chief Accounti (Principal Accounting Officer)

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Exhibit A

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board 350 Maple Street Des Moines, Iowa 50319-0069

Illinois Commerce Commission 527 E. Capitol Avenue Springfield, Illinois 62701

Minnesota Public Utilities Commission 121 7th Place E. Suite 350 St. Paul, MN 55101-2147

Public Service Commission of Wisconsin 610 North Whitney Way, P.O. Box 7854 Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION
----Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accounti (Principal Accounting Officer)

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