Edgar Filing: PRATT GREGORY A - Form 5

PRATT GREGORY A

Form 5

August 14, 2012

OMB APPROVAL FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form 5 obligations OWNERSHIP OF SECURITIES

may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

(Last)

1. Name and Address of Reporting Person * PRATT GREGORY A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Number:

Expires:

response...

Estimated average

burden hours per

Symbol

CARPENTER TECHNOLOGY

(Check all applicable)

CORP [CRS]

(Middle)

3. Statement for Issuer's Fiscal Year Ended

(Month/Day/Year)

X Director 10% Owner Officer (give title Other (specify

06/30/2012

below) below)

CARPENTER TECHNOLOGY CORPORATION, Â PO BOX 14662

(First)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

READING, Â PAÂ 19612-4662

X Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

1. Title of

Security

(Instr. 3)

(State)

(Month/Day/Year)

(Zip)

Execution Date, if

(Month/Day/Year)

2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Transaction

Code

(Instr. 8)

4. Securities Acquired (A) or

Disposed of (D)

5. Amount of Securities Beneficially Owned at end

6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

3235-0362

January 31,

2005

1.0

(A) or

(Instr. 3, 4 and 5)

of Issuer's Fiscal Year

(Instr. 3 and 4) Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

Transaction

6. Date Exercisable and Number **Expiration Date**

7. Title and Amount of **Underlying Securities**

1

De

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)		So (I
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Units	Â	Â	Â	Â	Â	Â	(2)	(2)	Common Stock	31,691.5	
Employee Stock Units	Â	Â	Â	Â	Â	Â	(2)	(2)	Common Stock	2,067.13	

Relationships

Reporting Owners

Reporting Owner Name / Address	retutionships						
	Director	10% Owner	Officer	Other			
PRATT GREGORY A CARPENTER TECHNOLOGY CORPORATION PO POY 14662							
FO DOA 14002	ÂΧ	Â	Â	Â			
READING Â PA Â 19612-4662							

Signatures

James D. 08/14/2012 Dee/POA

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to common stock on a 1-for-1 basis
- (2) Payable upon the later of separation of service or a specified date or event
- (3) Includes dividend equivalents

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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