PROOFPOINT INC Form 8-K December 01, 2016

LIMITED STATES

| 86 loyer on No.) |
|------------------------|
| |

(State or of i

> 892 Ross Drive, Sunnyvale CA (Address of principal executive offices)

94089 (Zip Code)

Edgar Filing: PROOFPOINT INC - Form 8-K (408) 517-4710

(Registrant s telephone number, including area code)

| (Former name or former address, if changed since last report) |
|---|
| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions: |
| oWritten communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) |
| oSoliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) |
| oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) |
| oPre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) |
| |
| |

Edgar Filing: PROOFPOINT INC - Form 8-K

Item 7.01 Regulation FD Disclosure.

On November 30, 2016, Paul Auvil, Chief Financial Officer of Proofpoint, Inc. (*Proofpoint*), participated in a fire side chat at the Credit Suisse Technology Conference. There was no change to Proofpoint s full year 2017 guidance that was issued during the third quarter 2016 earnings conference call on October 20, 2016.

The information in this Current Report on Form 8-K attached hereto (the **7.01 Information**) is being furnished pursuant to Item 7.01 of Form 8-K and will not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the **Exchange Act**), or otherwise subject to the liabilities of that section, nor will the 7.01 Information be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, regardless of any general incorporation language in such filing, except as shall be expressly set forth by specific reference in such filing.

Edgar Filing: PROOFPOINT INC - Form 8-K

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Proofpoint, Inc.

Date: November 30, 2016 By: /s/ Paul Auvil

Paul Auvil

Chief Financial Officer

3