### Edgar Filing: HICKEY DOUGLAS T - Form 4/A

HICKEY DC Form 4/A	OUGLAS T										
September 0	5, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549							COMMISSION		PPROVAL 3235-0287		
Check this box if no longer subject to Section 16. SECURITIES						burden hou response	Estimated average burden hours per response 0.5				
(Print or Type F	Responses)										
HICKEY DOUGLAS T Symbol				r Name <b>and</b> Ticker or Trading POWER INC [PLUG]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)		Earliest Tr	-	G		(Chec	k all applicable	:)	
C/O PLUG	POWER INC., 9 HAKER ROAD	968	(Month/D 06/28/20	ay/Year)	ansaction			X_ Director Officer (give below)		Owner er (specify	
	Filed(Mon 06/30/20			endment, Date Original nth/Day/Year) 017				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
LATHAM, I	NY 12110							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	) Executio any	med n Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A)	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Plug Power Common	06/28/2017			Code V A	Amount 29,343	or (D)	Price \$ 2.13	(Instr. 3 and 4) 66,016	D		
Stock	00/20/2017			1	27,545	11	<u>(1)</u>	00,010	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

### Reporting Owners

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Options (2)	\$ 2.13	06/28/2017		А	29,343	<u>(3)</u>	06/28/2027	Common Stock	29,343

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
I B B B B B B B B B B B B B B B B B B B	Director	10% Owner	Officer	Other				
HICKEY DOUGLAS T C/O PLUG POWER INC. 968 ALBANY SHAKER ROAD LATHAM, NY 12110	Х							
Signatures								
/s/ Gerard L. Conway Jr., Attorney-in-Fact	09/05/2017							
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Compensation awarded to directors pursuant to Plug Power's 2011 Non-Employee Directors Compensation Plan.
- (2) Options to purchase Common Stock granted in accordance with Plug Power's 2011 Non-Employee Directors Compensation Plan.
- (3) This option shall become fully vested on June 28, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.