Edgar Filing: Roth Johannes Minho - Form 4

Roth Johanne Form 4 October 10, 20											
FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations			Was F CHAN	hington, GES IN I SECUR 5(a) of the ility Hold	D.C. 209 BENEFI ITIES e Securiti ling Com	549 CIA ies E	L OW xchang	NERSHIP OF ge Act of 1934, f 1935 or Sectio	OMB APPROVAL OMB 3235-0287 Number: January 31 Expires: January 31 2005 Estimated average burden hours per response 0.5		
See Instruc 1(b). (Print or Type Re		50(11)		ostinone	compun	<i>y</i> 1101	. 01 17				
1. Name and Address of Reporting Person2. IssuerRoth Johannes MinhoSymbol			Name and Ticker or Trading OWER INC [PLUG]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)(First)(Middle)3. Date of (Month/DaC/O PLUG POWER INC., 96810/02/20ALBANY SHAKER ROAD10/02/20				-				X Director 10% Owner Other (specify below) below)			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	Person quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or Di (D) (Instr. 3,	ispose 4 and	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Plug Power Common Stock	10/02/2017			Code V A	Amount 3,688	(A) or (D) A	Price \$ 2.61 (1)	Transaction(s) (Instr. 3 and 4) 114,070	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	. ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Roth Johannes Minho C/O PLUG POWER INC. 968 ALBANY SHAKER ROAD LATHAM, NY 12110	Х						
Signatures							
/s/ Gerard L. Conway Jr., Attorney-in-Fact	10/10/2017						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Compensation awarded to directors pursuant to Plug Power's 2011 Non-Employee Directors Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.