

Jeffries Emma
Form 3
July 20, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Jeffries Emma
(Last) (First) (Middle)

C/O IRON MOUNTAIN
INCORPORATED, ONE
FEDERAL STREET

(Street)

BOSTON, MA 02110

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
07/10/2018

3. Issuer Name and Ticker or Trading Symbol
IRON MOUNTAIN INC [IRM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP, Chief People Officer

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, par value \$.01 per share

3,796

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: Jeffries Emma - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	655	\$ (2)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	1,104	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	1,353	\$ (2)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	2,372	\$ (2)	D	Â
Employee Stock Option (Right to Buy)	Â (6)	02/16/2027	Common Stock	6,839	\$ 37	D	Â
Employee Stock Option (Right to Buy)	Â (7)	02/15/2028	Common Stock	4,424	\$ 33.72	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jeffries Emma C/O IRON MOUNTAIN INCORPORATED ONE FEDERAL STREET BOSTON, MA 02110	Â	Â	Â EVP, Chief People Officer	Â

Signatures

/s/ Elizabeth Tamarro, under Power of Attorney dated July 20, 2018 from Emma Jeffries 07/20/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The restricted stock units ("RSUs"), representing a contingent right to receive a total of 1,959 shares of Iron Mountain Incorporated common stock ("Common Stock"), were granted to the Reporting Person on October 28, 2015. As of July 10, 2018, 1,304 of the granted RSUs have vested, and the balance of the RSUs vest on October 28, 2018.

(2) Each RSU represents a contingent right to receive one share of Common Stock.

(3) The RSUs, representing a contingent right to receive a total of 3,305 shares of Common Stock, were granted to the Reporting Person on March 9, 2016. As of July 10, 2018, 2,201 of the granted RSUs have vested, and the balance of the RSUs vest on March 9, 2019.

(4) The RSUs, representing a contingent right to receive a total of 2,027 shares of Common Stock, were granted to the Reporting Person on February 16, 2017. As of July 10, 2018, 674 of the RSUs have vested, and the balance of the RSUs vest in two substantially equal annual installments beginning on the second anniversary of the grant date.

(5) The RSUs, representing a contingent right to receive a total of 2,372 shares of Common Stock, were granted to the Reporting Person on February 15, 2018. As of July 10, 2018, none of the RSUs have vested, and the RSUs vest in three substantially equal annual installments beginning on the first anniversary of the grant date.

Edgar Filing: Jeffries Emma - Form 3

- (6) This stock option was granted on February 16, 2017. As of July 10, 2018, this stock option has vested with respect to 2,277 shares. The remaining shares vest in two substantially equal annual installments beginning on the second anniversary of the grant date.
- (7) This stock option was granted on February 15, 2018. As of July 10, 2018, this stock option will vest in three substantially equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.