Edgar Filing: BAR HARBOR BANKSHARES - Form 3

BAR HARBOR BANKSHARES

Form 3

January 23, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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OMB APPROVAL

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4 Nature of Indirect Beneficial

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

1 Title of Security

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BAR HARBOR BANKSHARES [BHB] THEROUX STEPHEN R (Month/Day/Year) 01/13/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BAR HARBOR (Check all applicable) BANKSHARES, P.O. BOX 400, 82 MAIN STREET 10% Owner _X_ Director (Street) Officer _ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) _X_ Form filed by One Reporting Person BAR HARBOR, MEÂ 04609 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.The of Security	2. Amount of Securities	J.	4. Nature of mulicet Deficitefal
(Instr. 4)	Beneficially Owned	Ownership	Ownership
	(Instr. 4)	Form:	(Instr. 5)
		Direct (D)	
		or Indirect	
		(I)	
		(Instr. 5)	
Common Stock	22,801	I	Joint with Spouse
Common Stock	28,347	I	Supp Exec. Retirement Plan
Common Stock	6,319	I	By 401(k) Plan
Common Stock	5,163	I	By Spouse

2. Amount of Securities

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

Ownership Form of Derivative Security: Direct (D)

or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of Shares

(I) (Instr. 5)

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

THEROUX STEPHEN R C/O BAR HARBOR BANKSHARES P.O. BOX 400, 82 MAIN STREET BAR HARBOR, MEÂ 04609

ÂX Â Â

Signatures

/s/ Kimberly Pruett-Ilg, Attorney-in-Fact

01/23/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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