

RANKIN ALFRED M ET AL

Form 4

February 28, 2005

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
01/26/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title ☒ Other (specify
below) below)
Dir CEO Group Member / Dir CEO Group
Member

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock				(A) or (D)	Price		By Trust 3 (Grandchildren) (13)
			Code	V	Amount	32,800	I
Class A Common Stock						37,917	I
							By Trust/Daughter 2 (14)
Class A Common Stock						37,917	I
							By Trust/Daughter 1 (14)
						2,000	I

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Class A Common Stock										By Trust 4 (Charities) ⁽¹⁵⁾
Class A Common Stock							26,608	I		By Trust 2 (SR) ⁽¹⁶⁾
Class A Common Stock							30,000	I		By Trust (Unitrust) ⁽¹⁷⁾
Class A Common Stock							14,000	I		By IRA ⁽¹⁸⁾
Class A Common Stock							100,208	I		By Trust (AMR) ⁽¹⁹⁾
Class A Common Stock	01/26/2005	01/26/2005	G	V	1,772	D	\$ 0	125,247	I	By Trust (Assoc II-BTR) ⁽¹⁾
Class A Common Stock	01/26/2005	01/26/2005	G	V	177	A	\$ 0	125,424	I	By Trust (Assoc II-BTR) ⁽¹⁾
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾		59,407	D	\$ 0	66,017	I	By Trust (Assoc II-BTR) ⁽¹⁾
Class A Common Stock								20,284	I	By Spouse/Trust ⁽²⁰⁾
Class A Common Stock								2,504	I	By Trust (Self-Declaration) ⁽²¹⁾
Class A Common Stock								14,000	I	By Trust (CLTR) ⁽²²⁾
Class A Common Stock	01/26/2005	01/26/2005	G	V	178	A	\$ 0	48,981	I	By Assoc II ⁽²⁾
Class A Common Stock	01/26/2005	01/26/2005	G	V	2,835	D	\$ 0	46,146	I	By Assoc II ⁽²⁾
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾		9,975	D	\$ 0	36,171	I	By Assoc II ⁽²⁾
Class A Common	01/26/2005	01/26/2005	G	V	177	A	\$ 0	2,116	I	By Assoc II/Spouse ⁽³⁾

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Stock

Class A Common Stock	01/26/2005	01/26/2005	G V	709	A	\$ 0	5,294	I	By Assoc II/Daughter 2 <u>(4)</u>
Class A Common Stock	01/26/2005	01/26/2005	G V	709	A	\$ 0	5,294	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	01/26/2005	01/26/2005	G V	4,960	D	\$ 0	300,593	I	By Trust (Assoc II-CLTR) <u>(5)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾	300,593	D	\$ 0	0	I	By Trust (Assoc II-CLTR) <u>(5)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁸⁾	25	A	\$ 0	25	I	By GP <u>(7)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾	9,975	A	\$ 0	9,975	I	By RA4 <u>(9)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾	300,593	A	\$ 0	300,593	I	By RA4 (CLT) <u>(10)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁶⁾	59,407	A	\$ 0	59,407	I	By RA4 (BTR) <u>(11)</u>
Class A Common Stock	02/07/2005	02/07/2005	J ⁽⁸⁾	25	D	\$ 0	1,975	I	By RMI (Delaware) <u>(12)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
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(Instr. 3,
4, and 5)

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ALFRED M ET AL NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124	X		Dir CEO Group Member	Dir CEO Group Member

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Alfred M.
Rankin, Jr.

02/28/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (3) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Clara L. T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Proportionate limited partnership interest in shares of Rankin Associates II, L.P. distributed to Rankin Associates IV, L.P.
- (7) (GP)Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (8) Proportionate limited partnership interest in shares of Rankin Management I, L.P. to the general partner of Rankin Associates IV, L.P.
- (9) Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (10) (CTR RAIV) Prepresents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Clara Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (11)

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(BTR RAIIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.

- (12) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (13) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (14) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (16) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (17) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (18) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (19) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (20) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (21) Reporting Person serves as the Trustee of the Alfred M. Rankin, Jr., Self-Declaration Irrevocable Trust.
- (22) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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