#### Edgar Filing: COCA-COLA ENTERPRISES, INC. - Form 4

COCA-COLA ENTERPRISES, INC. Form 4 January 02, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Douglas William W III Issuer Symbol COCA-COLA ENTERPRISES, INC. (Check all applicable) [CCE] 3. Date of Earliest Transaction 10% Owner (Last) (First) (Middle) Director X\_Officer (give title Other (specify (Month/Day/Year) below) below) 2500 WINDY RIDGE 12/31/2013 Exec. VP, Supply Chain PARKWAY, 14TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting ATLANTA, GA 30339 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D) Common 12/31/2013 179 A Α (1) 30,768 D Stock Common 12/31/2013 F 179 D D (2)30,589 Stock Common 01/02/2014 Μ 80,854 Α <u>(3)</u> 111,443 D Stock Common S 80,854 D D 01/02/2014 <u>(4)</u> 30,589 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>actionDerivative<br>Securities<br>8) Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)   | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| 2008<br>Stock<br>Option<br>Awards                   | \$ 6.74   | 01/02/2014                              |   | М                                      | :   | 80,854 | 10/30/2009   | 10/30/2018         | Common<br>Stock   | 80,854                              |

### **Reporting Owners**

| Reporting Owner Name / Address   |          |           | Relationships          |       |
|--|----------|-----------|------------------------|-------|
|  | Director | 10% Owner | Officer                | Other |
| Douglas William W III<br>2500 WINDY RIDGE PARKWAY<br>14TH FLOOR<br>ATLANTA, GA 30339 |          |           | Exec. VP, Supply Chain |       |
| Signatures   |          |           |                        |       |

Suzanne N. Forlidas, attorney-in-fact

\*\*Signature of Reporting Person

01/02/2014

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares under the 2011 performance share unit award were acquired prior to otherwise scheduled payment date solely to satisfy tax withholding obligations.
- (2) Withholding of shares of common stock to satisfy the tax withholding obligations in connection with this award no longer being subject to a substantial risk of forfeiture.
- (3) These options were exercised and shares were sold pursuant to a 10b5-1 trading plan.
- (4) These shares were sold at various prices ranging from \$43.47 to \$43.99.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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