## Edgar Filing: COCA-COLA ENTERPRISES, INC. - Form 4

COCA-COLA ENTERPRISES, INC. Form 4 January 05, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JOHNSON THOMAS H Issuer Symbol COCA-COLA ENTERPRISES, INC. (Check all applicable) [CCE] 3. Date of Earliest Transaction \_X\_ Director (Last) (First) (Middle) 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) P. O. BOX 421549 12/31/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting ATLANTA, GA 30342 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1.Title of 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Beneficially (D) or Indirect Beneficial Disposed of (D) anv (Instr. 8) (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.            | 5. Number  | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|---------------|------------|-------------------------|------------------------|----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactionof |            | Expiration Date         | Underlying Securities  | Derivati |
| Security    | or Exercise |                     | any                | Code          | Derivative | (Month/Day/Year)        | (Instr. 3 and 4)       | Security |

number.

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| (Instr. 3)                | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 |   | Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) |     |                     |                    |                 | (Instr. 5                              |            |
|---------------------------|------------------------------------|------------|------------------|-----------|---|---|-----|---------------------|--------------------|-----------------|--|------------|
|                           |                                    |            |                  | Code      | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |            |
| Phantom<br>Stock          | <u>(1)</u>                         | 12/31/2015 |                  | А         |   | 281   |     | <u>(1)</u>          | (1)                | Common<br>Stock | 281                                    | <u>(1)</u> |
| Quarterly<br>DSU<br>Award | <u>(2)</u>                         | 01/01/2016 |                  | А         |   | 609   |     | (2)                 | (2)                | Common<br>Stock | 609                                    | <u>(2)</u> |

## **Reporting Owners**

| Reporting Owner Name / Address                            | Relationships |            |         |       |  |  |  |
|---|---------------|------------|---------|-------|--|--|--|
| 1 0   | Director      | 10% Owner  | Officer | Other |  |  |  |
| JOHNSON THOMAS H<br>P. O. BOX 421549<br>ATLANTA, GA 30342 | Х             |            |         |       |  |  |  |
| Signatures  |               |            |         |       |  |  |  |
| Suzanne N. Forlidas, attorney-in-fact                     |               | 01/04/2010 | 6       |       |  |  |  |
| **Signature of Reporting Person                           |               | Date       |         |       |  |  |  |
| - · · · · ·   |               |            |         |       |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Phantom stock units acquired pursuant to a deferred compensation agreement between reporting person and Company, increases to the Director's phantom stock credits under the Deferred Compensation Plan for nonemployee Directors due to deemed reinvestments of

- Director's phantom stock creates under the Derened Compensation Fian for nonemployce Directors due to deemed remeestments of hypothetical dividends and/or the aggregation of fractional share units not previously reported. Payment of the number of shares credited to the account occurs upon the Director's departure from the Board.
- Phantom stock units credited to the reporting person's Quarterly DSU Award account under the Deferred Compensation Plan for
  Nonemployee Directors. Payment of the number of shares credited to the account occurs upon the Director's departure from the Board.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.