### Edgar Filing: COCA-COLA EUROPEAN PARTNERS US, LLC - Form 4

#### COCA-COLA EUROPEAN PARTNERS US, LLC

Form 4 June 01, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

P. O. BOX 421549

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading JOHNSON THOMAS H

(Middle)

Symbol

COCA-COLA EUROPEAN

PARTNERS US, LLC [CCE]

3. Date of Earliest Transaction

(Month/Day/Year) 05/28/2016

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ATLANTA, GA 30342

(First)

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	ecurit	ies Acc	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ed 3. 4. Secur Date, if Transaction(A) or D Code (D)			of	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	05/28/2016		M	4,377 (1)	A	\$ 0 (1)	14,377	D	
Common Stock	05/28/2016		M	11,160 (1)	A	\$ 0 (1)	25,537	D	
Common Stock	05/28/2016		M	5,762 (1)	A	\$ 0 (1)	31,299	D	
Common Stock	05/28/2016		M	4,876 (1)	A	\$ 0 (1)	36,175	D	
Common Stock	05/28/2016		M	6,272 (1)	A	\$ 0 (1)	42,447	D	

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Common Stock	05/28/2016	M	18,593 (1)	A	\$ 0 (1)	61,040	D
Common Stock	05/28/2016	D	61,040 (2)	D	\$ 0 (2)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

8. De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2007 Director Restricted Stock Unit Awards	<u>(3)</u>	05/28/2016		M		4,377	(3)	(3)	Common Stock	4,377
2008 Director Restricted Stock Unit Awards	(3)	05/28/2016		M		11,160	(3)	(3)	Common Stock	11,160
2009 Director Restricted Stock Unit Awards	(3)	05/28/2016		M		5,762	<u>(3)</u>	(3)	Common Stock	5,762
2010 DSU Award	(3)	05/28/2016		M		4,876	(3)	(3)	Common Stock	4,876
Phantom Stock	<u>(4)</u>	05/28/2016		M		6,272	<u>(4)</u>	(4)	Common Stock	6,272
Quarterly DSU Award	(3)	05/28/2016		M		18,593	(3)	(3)	Common Stock	18,593

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JOHNSON THOMAS H
P. O. BOX 421549

ATLANTA, GA 30342

## **Signatures**

Suzanne N. Forlidas, attorney-in-fact 06/01/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents phantom stock units which became fully vested and were settled for shares of Common Stock immediately prior to the consummation of the Merger, as defined below.
  - 61,040 shares of Common Stock were disposed of at the effective time of the merger of Coca-Cola Enterprises, Inc. (the "Company") with and into Coca-Cola European Partners US, LLC, formerly known as Orange MergeCo, LLC ("MergeCo"), on May 28, 2016 (the "Merger"), pursuant to a merger agreement, dated as of August 6, 2015, by and among the Company, Coca-Cola European Partners plc,
- (2) formerly known as Spark Orange Limited and Coca-Cola European Partners Limited ("CCEP"), Coca-Cola European Partners Holdings US, Inc., formerly known as Orange U.S. HoldCo, LLC, and MergeCo in exchange for (i) 61,040 validly issued, fully paid, non-assessable ordinary shares, nominal value ?0.01 per share, of CCEP and (ii) cash consideration of \$14.50 per share of Common Stock.
- (3) Phantom stock units credited to the reporting person's Quarterly DSU Award account under the Deferred Compensation Plan for Nonemployee Directors. Payment of the number of shares credited to the account occurs upon the Director's departure from the Board.
- Phantom stock units acquired pursuant to a deferred compensation agreement between reporting person and Company, increases to the Director's phantom stock credits under the Deferred Compensation Plan for nonemployee Directors due to deemed reinvestments of hypothetical dividends and/or the aggregation of fractional share units not previously reported. Payment of the number of shares credited to the account occurs upon the Director's departure from the Board.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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