Willingham Gary W. Form 4

February 05, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

obligations

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

(Middle)

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Willingham Gary W.

(First)

1001 NOBLE ENERGY WAY

2. Issuer Name and Ticker or Trading Symbol

NOBLE ENERGY INC [NBL]

(Month/Day/Year)

3. Date of Earliest Transaction

02/01/2018

Director X\_ Officer (give title

Other (specify below) Exec. VP Operations

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

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burden hours per

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

HOUSTON, TX 77070

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative :	Securi	ities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Noble Energy, Inc. Common Stock	02/01/2018		Code V	Amount 645 (1)	(D)	Price \$ 30.89	140,709	D	
Noble Energy, Inc. Common Stock	02/01/2018		F	1,873 (2)	D	\$ 30.89	138,836	D	
Noble Energy,	02/01/2018		A	31,158 (3)	A	\$ 0	169,994	D	

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Inc. Common Stock								
Noble Energy, Inc. Common Stock	02/01/2018	M	11,005	A	<u>(4)</u>	180,999	D	
Noble Energy, Inc. Common Stock	02/01/2018	D	11,005 (5)	D	\$ 30.89	169,994	D	
Noble Energy, Inc. Common Stock						11	I	401(k)
Noble Energy, Inc. Common Stock						30,000	I	by IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Buy)

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3. Transaction Date 3A. Deemed 4. (Month/Day/Year) Execution Date, if Transaction Date, if Any Cook (Month/Day/Year) (Institute of the cook of the coo		5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Employee Stock Option Grant (Right to	\$ 30.89	02/01/2018		A	39,398	<u>(6)</u>	02/01/2028	Noble Energy, Inc. Common Stock	39

Phantom Unit (4) 02/01/2018 M 11,005 02/01/2018 02/01/2018

Noble Energy, Inc.

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Willingham Gary W.

1001 NOBLE ENERGY WAY

HOUSTON, TX 77070

Exec. VP
Operations

## **Signatures**

/s/ Aaron G. Carlson, Attorney-in-Fact 02/05/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects shares relinquished to Noble Energy, Inc. by the reporting person out of, and to cover estimated tax withholding for, restricted shares granted on February 1, 2016, and vesting on February 1, 2018. The stock price reflected in Table I Column 4 was determined based on "fair market value," defined in the 1992 Plan for this transaction as the closing trading price of Noble Energy, Inc. common stock on the NYSE on February 1, 2018.
- Reflects shares relinquished to Noble Energy, Inc. by the reporting person out of, and to cover estimated tax withholding for, restricted shares granted on February 1, 2017, and vesting on February 1, 2018. The stock price reflected in Table I Column 4 was determined based on "fair market value," defined in the 1992 Plan for this transaction as the closing trading price of Noble Energy, Inc. common stock on the NYSE on February 1, 2018.
- (3) Restricted shares of Noble Energy, Inc. Common Stock subject to vesting 1/3 after year one, 1/3 after year two and the final 1/3 after year three, granted under the 2017 Plan.
- Each phantom unit is the economic equivalent of one share of Noble Energy, Inc. Common Stock to be settled in cash upon vesting and subject to a maximum settlement value of \$126.60 per unit plus the equivalent value of accrued and unpaid dividends. Phantom units were granted under the 1992 Plan and will vest 100% two years after the date of grant.
- Reflects settlement of phantom units in cash for, the phantom units granted on February 1, 2016, and vesting on February 1, 2018. The stock price reflected in Table I Column 4 was determined based on "fair market value," defined in the 1992 Plan for this transaction as the closing trading price of Noble Energy, Inc. common stock on the NYSE on February 1, 2018.
- (6) The option is exercisable in three equal annual installments beginning one year after the date of grant, granted under the 2017 Plan.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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