Rankin Lynne T Form 5

February 14, 2018 **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Rankin Lynne T Symbol NACCO INDUSTRIES INC [NC] (Check all applicable) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_\_ Other (specify 12/31/2017 below) below) NACCO INDUSTRIES, member of a group\* INC., Â 5875 LANDERBROOK DRIVE, STE. 220 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) **MAYFIELD** \_X\_ Form Filed by One Reporting Person HEIGHTS, OHÂ 44124 Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount 4. Securities 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or of Securities Ownership Beneficial Ownership (Instr. 3) Code Disposed of (D) Beneficially Form: (Instr. 4) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) end of or Indirect Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Class A Â Common 12/14/2017 G 81 9,665 By Spouse/RAII (2) A I (1) Stock Class A Common 12/14/2017 Â G 81 1,405 I Trust/RAII/Child2 (3) (1) Stock

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81

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12/14/2017

Trust/RAII/Child1 (3)

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1,553

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		9	3	,						
Class A Common Stock						\$ 0 (1)				
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	9,665	I	By Spouse/RAII (2)	
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	454	I	By RAII/Daughter (4)	
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	262	I	By RAII/Son (5)	
Class A Common Stock	12/14/2017	Â	G	163	A	\$ 0 (1)	1,553	I	Trust/RAII/Child1 (3)	
Class A Common Stock	12/14/2017	Â	G	163	A	\$ 0 (1)	1,405	I	Trust/RAII/Child2 (3)	
Class A Common Stock	12/14/2017	Â	G	81	D	\$ 0 (1)	9,665	I	By Spouse/RAII (2)	
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	454	I	By RAII/Daughter (4)	
Class A Common Stock	12/14/2017	Â	G	81	D	\$ 0 (1)	9,665	I	By Spouse/RAII (2)	
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	262	I	By RAII/Son (5)	
Class A Common Stock	Â	Â	Â	Â	Â	Â	15,768	I	By Spouse/Trust (6)	
Class A Common Stock	Â	Â	Â	Â	Â	Â	563	D	Â	
Class A Common Stock	Â	Â	Â	Â	Â	Â	862	I	By RAII (7)	
Class A Common Stock	Â	Â	Â	Â	Â	Â	645	I	Spouse/Trust/Child1	
Class A Common	Â	Â	Â	Â	Â	Â	563	I	Spouse/Trust/Child2	

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Rankin Lynne T

NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220

5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OHÂ 44124 Â

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member of a group\*

## **Signatures**

/s/ Jesse L. Adkins, attorney-in-fact

02/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents Reporting Person's Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- Reporting Person's Spouse serves as co-trustee of a trust, with his brother Matthew Rankin, for the benefit of Reporting Person's minor (3) niece/nephew. Shares represent the minor child's proportionate limited interests in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's daughter's proportionate limited partnership interests in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person disclaims beneficial ownership of all such shares.

Reporting Owners 3

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- (5) Represents the Reporting Person's son's proportionate limited partnership interests in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the son. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Held by Trust for the benefit of Reporting Person's Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
- (8) Held by Trust for the benefit of Reporting Person's minor niece/nephew. Reporting Person's Spouse is co-trustee of the trust with his brother, Matthew M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.