Pambianchi Christine M Form 4

# September 06, 2018 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549

3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per 0.5 response...

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Pambianchi Christine M				ol	nd Ticker or Trading  C /NY [GLW]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) (First) (Mide			(Middle) 3. Dat	e of Earliest	Transaction	(Check an applicable)				
	ONE RIV	ERFRONT PLAZ	<b>.</b> .	:h/Day/Year) 4/2018		Director 10% Owner X Officer (give title Other (specify below) Senior VP, Human Resources				
(Street)			4. If A	mendment,	Date Original	6. Individual or Joint/Group Filing(Check				
CORNING, NY 14831				Month/Day/Y	ear)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip) T	able I - Non	a-Derivative Securities Acq	quired, Disposed of, or Beneficially Owned				
	1.Title of Security (Instr. 3)	urity (Month/Day/Year) Execution Date,		Code	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)	isposed of (D) Securities r. 3, 4 and 5) Beneficially Owned Following Reported		7. Nature of Indirect Beneficial Ownership (Instr. 4)		

1.11tic of	2. Hansaction Date	ZA. Decineu	3. 4. Securities Acquired (A)			J. Amount of	0.	7. Ivaluic of	
Security	(Month/Day/Year)	Execution Date, if	Transaction Disposed of (D)			Securities	Ownership	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
				(4)			Reported	(I)	
				(A) or			Transaction(s)	(Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	09/04/2018		S	10,000	D	\$ 33.4174	50,403	D	
Stock						<u>(1)</u>			
Common Stock							2,525.9747	I	Trustee u/Employee Benefit Plan
Common									
Stock -							1.700	т	Held by
Held by							1,788	I	Spouse (2)
Spouse									-r
Spouse									

#### Edgar Filing: Pambianchi Christine M - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Γ	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amou	int of	Derivative	J
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
1	(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative			Securities (Instr. 3 and 4)	ities	(Instr. 5)	]
						Securities					(	
						Acquired					]	
		•				(A) or						]
						Disposed						-
						of (D)						(
						(Instr. 3,						
						4, and 5)						
										Amount		
								Expiration Date	Title	or		
										Number		
										of		
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pambianchi Christine M ONE RIVERFRONT PLAZA CORNING, NY 14831

Senior VP, Human Resources

#### **Signatures**

Linda E. Jolly, Power of Attorney 09/06/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.41 to \$33.43, inclusive. The reporting person undertakes to provide to Corning Incorporated, any security holder of Corning Incorporated, or
- the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth previously in this footnote.
- (2) The Reporting Person disclaims beneficial ownership of all securities held by spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

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**SEC 1474** 

(9-02)

Ve Deriv Secur Bene Own

Follo Repo Trans (Instr