

MILLER ALAN B
Form 4
March 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MILLER ALAN B

2. Issuer Name and Ticker or Trading Symbol
UNIVERSAL HEALTH SERVICES INC [UHS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/28/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

UNIVERSAL HEALTH SERVICES, INC., 367 SOUTH GULPH ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

KING OF PRUSSIA, PA 19406

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class B Common Stock	02/28/2019		M		300,000	A	\$ 138.83
							1,304,153
Class B Common Stock	02/28/2019		F		224,603	D	\$ 138.83
							1,079,550
Class B Common Stock	03/01/2019		M		100,000	A	\$ 138.83
							1,179,550

Edgar Filing: MILLER ALAN B - Form 4

Class B Common Stock	03/01/2019	F	74,868	D	\$ 138.83	1,104,682	D	
Class B Common Stock	03/01/2019	M	100,000	A	\$ 141.59	1,204,682	D	
Class B Common Stock	03/01/2019	F	74,237	D	\$ 141.59	1,130,445	D	
Class B Common Stock						51,933	I	AMK 2014 LLC as held by The Abby Miller King 2018 GRAT
Class B Common Stock						16,748	I	AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock						31,319	I	AMK 2014 LLC held by The Abby Miller King 2017 GRAT
Class B Common Stock						55,763	I	By The Abby Miller King 2011 Family Trust
Class B Common Stock						131,097	I	MDM 2014 LLC as held by The Marc Daniel Miller 2018 GRAT
Class B Common Stock						24,007	I	MDM 2014 LLC held by The Marc

Edgar Filing: MILLER ALAN B - Form 4

Class B Common Stock	44,896	I	Daniel Miller 2002 Trust MDM 2014 LLC held by The Marc Daniel Miller 2017 GRAT
Class B Common Stock	51,933	I	MS 2014 LLC as held by The Marni Spencer 2018 GRAT
Class B Common Stock	16,748	I	MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock	31,319	I	MS 2014 LLC held by The Marni Spencer 2017 GRAT
Class B Common Stock	10,810	I	The Alan and Jill Miller Foundation
Class B Common Stock	59,900	I	The Marc Daniel Miller 2011 Family Trust
Class B Common Stock	55,763	I	The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Option To Purchase Class B Common Stock	\$ 78.17	02/28/2019		M	300,000	(1) 03/25/2019	Class B Common Stock 300,000
Option To Purchase Class B Common Stock	\$ 78.17	03/01/2019		M	100,000	(1) 03/25/2019	Class B Common Stock 100,000
Option To Purchase Class B Common Stock	\$ 78.17	03/01/2019		M	100,000	(1) 03/25/2019	Class B Common Stock 100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER ALAN B UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD KING OF PRUSSIA, PA 19406	X	X	Chairman and CEO	

Signatures

/s/ Alan B.
Miller

03/04/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests ratably on each of 3/26/2015, 3/26/2016, 3/26/2017 and 3/26/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.