KANZER STEVE H Form 4/A

November 16, 2004

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KANZER STEVE H

Symbol

5. Relationship of Reporting Person(s) to

Issuer

DOR BIOPHARMA INC [DOR]

(Check all applicable)

(Last)

(First)

(Middle)

(Month/Day/Year) Execution Date, if

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director Officer (give title

10% Owner Other (specify

1691 MICHIGAN AVE., SUITE 435 09/09/2004

(Street)

below)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

(Instr. 8)

09/10/2004

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**MIAMI, FL 33139** 

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

4. Securities TransactionAcquired (A) or Code Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

Following

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I)

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A)

Reported Transaction(s)

or (Instr. 3 and 4)

Common

Stock

Security

(Instr. 3)

Code V Amount (D) Price

819,437 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: KANZER STEVE H - Form 4/A

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5) | Expiration Da (Month/Day/Y | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|---|----------------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                 | (Α) (Γ  | Date<br>Exercisable        | Expiration<br>Date                                       | Title           | Amount o<br>Number o<br>Shares                                |  |
| Stock<br>Options                                    | \$ 0.58   | 09/09/2004                              |   | A                                      | 50,000  | 09/09/2004                 | 09/09/2014   | Common<br>Stock | 50,000  |  |
| Stock<br>Warrants<br>(right to<br>buy)              | \$ 0.8756   |   |   |  |   | 09/15/2003                 | 09/15/2008   | Common<br>Stock | 125,628   |  |
| Stock<br>Options<br>(right to<br>buy)               | \$ 0.9  |   |   |  |   | 09/15/2003                 | 09/15/2013   | Common<br>Stock | 50,000  |  |
| Stock<br>Options<br>(right to<br>buy)               | \$ 0.58   |   |   |  |   | 07/02/2003                 | 07/02/2013   | Common<br>Stock | 75,000  |  |
| Stock<br>Options<br>(right to<br>buy)               | \$ 1.28   |   |   |  |   | 05/01/2003                 | 05/01/2013   | Common<br>Stock | 75,000  |  |
| Stock<br>Warrants<br>(right to<br>buy)              | \$ 0.75   |   |   |  |   | 12/31/2002                 | 12/31/2007   | Common<br>Stock | 26,609  |  |
| Stock<br>Warrants<br>(right to<br>buy)              | \$ 0.35   |   |   |  |   | 12/31/2002                 | 12/31/2007   | Common<br>Stock | 54,304  |  |
| Stock<br>Warrants<br>(right to<br>buy)              | \$ 0.75   |   |   |  |   | 12/31/2002                 | 12/31/2007   | Common<br>Stock | 142,857   |  |
| Stock<br>Options<br>(right to<br>buy)               | \$ 0.2  |   |   |  |   | 10/23/2002                 | 10/23/2012   | Common<br>Stock | 250,000   |  |
| Stock<br>Options                                    | \$ 0.74   |   |   |  |   | 11/29/2001                 | 11/29/2011   | Common<br>Stock | 167,800   |  |

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| (right ot buy)                        |           |            |            |                 |         |
|---------------------------------------|-----------|------------|------------|-----------------|---------|
| Stock<br>Options<br>(right to<br>buy) | \$ 1.25   | 02/21/2001 | 02/21/2011 | Common<br>Stock | 50,000  |
| Stock<br>Options<br>(right to<br>buy) | \$ 1.375  | 10/21/1999 | 10/21/2009 | Common<br>Stock | 12,000  |
| Stock<br>Options<br>(right to<br>buy) | \$ 2.4688 | 10/21/1997 | 10/21/2007 | Common<br>Stock | 187,000 |

# **Reporting Owners**

| Reporting Owner Name / Address                                       | Relationships |           |         |       |  |
|--|---------------|-----------|---------|-------|--|
|  | Director      | 10% Owner | Officer | Other |  |
| KANZER STEVE H<br>1691 MICHIGAN AVE.<br>SUITE 435<br>MIAMI, FL 33139 | X             |           |         |       |  |
| Signatures   |               |           |         |       |  |
| /c/ Stave H  |               |           |         |       |  |

## /s/ Steve H.

Reporting Person

09/09/2004 Kanzer \*\*Signature of Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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