

ASTRO MED INC /NEW/  
Form 4/A  
January 13, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Pizzuti Eric E

(Last) (First) (Middle)

72 FOOTE STREET

(Street)

BARRINGTON, RI 02806

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)

01/13/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

01/13/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Vice President

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 01/13/2015                           |  | M                              |   | 3,125   | A  | \$ 6.768  |
| Common Stock                    | 01/13/2015                           |  | F(1)                           |   | 1,396   | D  | \$ 15.16  |
| Common Stock                    |                                      |  |                                |   |   |  |   |
| Common Stock                    |                                      |  |                                |   | 1,556   | I  |   |
| Common Stock                    |                                      |  |                                |   | 358   | I  |   |

Held in Employee Stock Ownership Plan  
Held in Employee Stock Ownership Plan

Stock  
Purchase  
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option (Right to purchase)           | \$ 6.768   | 01/13/2015                           |  | M                              | 3,125   | 03/21/2006 03/21/2015                                    | Common Stock  | 3,125                      |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                |       |
|---|---------------|-----------|----------------|-------|
|   | Director      | 10% Owner | Officer        | Other |
| Pizzuti Eric E<br>72 FOOTE STREET<br>BARRINGTON, RI 02806 |               |           | Vice President |       |

## Signatures

Margaret D. Farrell (Attorney-in-fact for Eric E. Pizzuti) 01/13/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

## Edgar Filing: ASTRO MED INC /NEW/ - Form 4/A

The reporting person delivered 1,396 shares of the issuer's common stock to the issuer to pay the exercise price in connection with the exercise of the options reported herein.

### **Remarks:**

This amended report is to correct Footnote 1 to state that shares were delivered to pay the exercise price only, not taxes, on the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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