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PEOPLES BANCORP OF NORTH CAROLINA INC

Form 5

February 12, 2016

FORM 5 **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject

3235-0362 Number: January 31, Expires: 2005

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

OMB

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Stock

Â

05/05/2015

 $L^{(1)}$

35

A

Transactions Reported

| | Address of Reporting N A JOSEPH | Sym PEC | suer Name and Ti bol DPLES BANC ROLINA INC | ORP OF | | Issu | | eporting Perso | | | |
|--------------------------------------|---|--|---|--------------------------------------|--------|---------------|--|--|---|--|--|
| (Last) | (First) (| (Moi | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2015 | | | | | | Owner (specify | | |
| 518 WEST | C STREET | | | | | | EVP | and CFO | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Reporting | | | | |
| | | 11100 | | | | | (check applicable line) | | | | |
| NEWTON (City) | , NC 28658 (State) | (Zip) | | | | Pers | | re than One Rep | porting | | |
| (City) | (State) | (Zip) | Table I - Non-De | erivative S | ecurit | ties Acquire | d, Disposed of, o | r Beneficially | y Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, any (Month/Day/Yea | Code | 4. Securi or Dispos (Instr. 3, | sed of | | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 01/29/2015 | Â | <u>L(1)</u> | 45 | A | \$ 18.9699 | 21,259.347 | D | Â | | |
| Common Stock | 02/02/2015 | Â | L(1) | 23 | A | \$ 18.9699 | 21,282.347 | D | Â | | |
| Common Stock | 02/27/2015 | Â | <u>L(1)</u> | 22 | A | \$ 18.6999 | 21,304.347 | D | Â | | |
| Common | 04/24/2015 | Â | L(1) | 46 | A | \$ 18.67 | 21,350.347 | D | Â | | |

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21,385.347 D

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| Common Stock | | | | | | \$ 18.6393 | | | |
|-----------------|------------|---|-------------|----|---|---------------|------------|---|---|
| Common Stock | 06/02/2015 | Â | <u>L(1)</u> | 23 | A | \$ 18.4899 | 21,408.347 | D | Â |
| Common Stock | 07/30/2015 | Â | <u>L(1)</u> | 72 | A | \$ 18.4199 | 21,480.347 | D | Â |
| Common Stock | 08/27/2015 | Â | <u>L(1)</u> | 23 | A | \$ 18.98 | 21,503.347 | D | Â |
| Common Stock | 10/22/2015 | Â | <u>L(1)</u> | 64 | A | \$ 18.5699 | 21,567.347 | D | Â |
| Common Stock | 10/30/2015 | Â | <u>L(1)</u> | 22 | A | \$ 19.4499 | 21,589.347 | D | Â |
| Common Stock | 12/03/2015 | Â | <u>L(1)</u> | 22 | A | \$ 19.4174 | 21,611.347 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Tit | le and | 8. Price of | 9. |
|-------------|-------------|---------------------|--------------------|-------------|------------|------------------|-------------|--------|------------|-------------|-----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | Number | Expiration D | ate | Amou | ınt of | Derivative | of |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Unde | rlying | Security | D |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Secur | ities | (Instr. 5) | Se |
| | Derivative | | | | Securities | | | (Instr | . 3 and 4) | | В |
| | Security | | | | Acquired | | | | | | О |
| | | | | | (A) or | | | | | | Eı |
| | | | | | Disposed | | | | | | Is |
| | | | | | of (D) | | | | | | Fi |
| | | | | | (Instr. 3, | | | | | | (I: |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | m: d | or | | |
| | | | | | | Exercisable Date | Title N | Number | | | |
| | | | | | (A) (D) | | | | of | | |
| | | | | | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------|-------|--|--|--|
| • 0 | Director | 10% Owner | Officer | Other | | | |
| LAMPRON A JOSEPH 518 WEST C STREET NEWTON, NC 28658 | Â | Â | EVP and CFO | Â | | | |

2 Reporting Owners

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Signatures

A. Joseph Lampron, Jr. 02/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through Directors and Officers Deferral Plan

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3