## Edgar Filing: Gilbert Halley E - Form 4

Gilbert Halley Form 4											
June 07, 2018								OMB A	APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							N OMB	3235-0287			
Washington, D.C. 20549 Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP (					WNERSHIP OF	Number: Expires:	January 31, 2005				
subject to Section 16 Form 4 or Form 5	16. SECURITIES						Estimated burden ho response	urs per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> Gilbert Halley E			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
(Last)				gen Inc [A			(Check all applicable)				
(Last) (First) (Middle) C/O ACHAOGEN, INC., 1 TOWER PLACE SUITE 300 (Street)			3. Date of Earliest Transaction (Month/Day/Year) 06/05/2018				X_ Director 10% Owner Officer (give title Other (specify below) below)				
			4. If Amendment, Date Original				6. Individual or .	Joint/Group Fili	ing(Check		
			Filed(Me	onth/Day/Yea	r)		Applicable Line) _X_ Form filed by	One Reporting F More than One R			
SOUTH SAN							Person	wore than one is	ceporting		
(City)	(State)	(Zip)	Tal	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	ally Owned		
	2. Transaction Date Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8)	Disposed	(A) or of (D) 4 and 5) (A)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D) Price	(Instr. 3 and 4)				
Reminder: Repo	ort on a separate line	e for each cl	lass of sec	urities bene	ficially ow	ned directly of	or indirectly.				
					inforr requi	nation cont red to respo ays a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					sposed of, or convertible s	Beneficially Owned securities)	I			
		saction Date /Day/Year)			4. Transact Code	5. Number iorDerivative Securities	Expiration Dat	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		

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(Instr. 3)	Price of Derivative Security	(Month/Day,	/Year) (Ii	lnstr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(	
			C	Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 12.07	06/05/2018		А	15,000		<u>(1)</u>	06/05/2028	Common Stock	15,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gilbert Halley E C/O ACHAOGEN, INC. 1 TOWER PLACE SUITE 300 SOUTH SAN FRANCISCO, CA 94080	Х						
Signatures							
/s/ Gary Loeb, Attorney-in-fact for Halle Gilbert	y E.	06/06	5/2018				
**Signature of Reporting Person		I	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option vests with respect to 1/12th of the shares subject thereto on each monthly anniversary of the grant date, which vesting will

(1) accelerate in full on the date of the next annual stockholder's meeting to the extent unvested as of such date, subject to continued service through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.