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HERZFELD CARIBBEAN BASIN FUND INC

Form 8-K

November 16, 2006

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 16, 2006  
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HERZFELD CARIBBEAN BASIN FUND, INC.  
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(Exact name of registrant as specified in its charter)

Maryland	811-06445	65-0396889
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(State or other jurisdiction of incorporation)	(Commission File Number)	(IRS Employer Identification No.)
P.O. Box 161465, Miami, FL		33116
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(Address of principal executive offices)		(Zip Code)

Registrant's telephone number, including area code, 305-271-1900  
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(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

SECTION 8 - OTHER EVENTS

Item 8.01 Other Events.

On November 16, 2006, Herzfeld Caribbean Basin Fund, Inc. (the "Company") announced the adoption of a dividend reinvestment plan (the "Plan"). A copy of the press release issued by the Company dated November 16, 2006 is attached hereto as Exhibit 99.1

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### SECTION 9 - FINANCIAL STATEMENTS AND EXHIBITS

#### Item 9.01 Financial Statements and Exhibits

- (d) Exhibit 99.1 Press release dated November 16, 2006.  
Exhibit 99.2 Dividend Reinvestment Plan of Herzfeld Caribbean Basin Fund, Inc.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

HERZFELD CARIBBEAN BASIN FUND, INC.

Date: November 16, 2006

/s/ Cecilia L. Gondor

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Cecilia L. Gondor  
Chief Compliance Officer, Secretary  
and Treasurer

#### EXHIBIT INDEX

Exhibit Number -----	Exhibit Description -----
99.1	Press release dated November 16, 2006 announcing the declaration of Herzfeld Caribbean Basin Fund, Inc.'s year-end distribution and establishment of dividend reinvestment plan.
99.2	Dividend Reinvestment Plan of Herzfeld Caribbean Basin Fund, Inc.