## Edgar Filing: Harte Francis Michael - Form 4

Harte Franci Form 4 February 04											
FORM	ΠΔ									PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box if no longer subject to STATEMENT OF C						CIAI	LOW	NERSHIP OF	Expires: Estimated a	January 31, 2005 d average	
Section Form 4 of				SECURITIES					burden hours per response		
Form 5		ursuant to	Section 1	6(a) of the	e Securiti	es Ex	chang	e Act of 1934.	response	0.5	
	Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section										
<i>See</i> Instruction 1(a) of the Fublic Outing Company Act of 1955 of Section 30(h) of the Investment Company Act of 1940 1(b).											
(Print or Type	Responses)										
Harte Francis Michael Symbol				er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
				Global Investors Inc. [ART]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of (Month/D	f Earliest Tr Day/Year)	ansaction			Director		6 Owner	
C/O ARTIO INC., 330 N	2013				XOfficer (give title       Other (specify below)         below)       Chief Financial Officer						
(Street) 4. If An			4. If Ame	Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(M				ed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
NEW YOR	K, NY 10017							_X_ Form filed by N Form filed by N Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecuri	ties Ace	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Day (Month/Day/Yea	r) Execution any	on Date, if	3. Transactic Code (Instr. 8)	on(A) or Dis (D)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Class A common stock	02/01/2013			А	60,508 (1)	А	\$0	299,373 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of	9. Nu
1	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	Expiration Date		Derivative	Deriv
;	Security	or Exercise	•	any	Code	of	(Month/Day	/Year)	Underlying	Security	Secu
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securities	(Instr. 5)	Bene
	. ,	Derivative			. ,	Securities	3		(Instr. 3 and 4	4)	Owne
		Security				Acquired					Follo
						(A) or					Repo
						Disposed					Trans
						of (D)					(Instr
						(Instr. 3,					(
						4, and 5)					
						., and 5)					
									Amour	nt	
							Date	Expiration	or		
							Exercisable	Date	Title Numbe	er	
								Date	of		
					Code V	(A) (D)			Shares		
	Zanar	rtina O	whore								

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
The forming of the state of state of	Director	10% Owner	Officer	Other			
Harte Francis Michael C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017			Chief Financial Officer				
Signatures							
/s/ Rachel Braverman, as							

/s/ Rachel Braverman, as Agent 02/04/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents restricted stock units, each of which represents the right to receive one share of Class A common stock of Artio Global
 Investors Inc. (the "Issuer") upon the lapse of restrictions. These restrictions will lapse as to 1/3rd of the total award on each of the first three anniversaries of the date of grant (February 1, 2013), provided that Mr. Harte continues to be employed by the Issuer through the relevant lapse date.

Includes (a) restricted stock units ("RSUs"), each of which represents the right to receive one share of Class A common stock of the Issuer upon the lapse of restrictions and (b) dividend equivalents related to RSUs, each of which represents the right to receive one share of Class A common stock of the Issuer upon the lapse of restrictions. The dividend equivalents were issued in the form of additional

RSUs and are subject to the same vesting provisions as the underlying RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.