#### Edgar Filing: NAVIDEA BIOPHARMACEUTICALS, INC. - Form 3

NAVIDEA BIOPHARMACEUTICALS, INC.

Form 3 April 27, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Latkin Jed

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/20/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NAVIDEA BIOPHARMACEUTICALS, INC. [NAVB]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Interim COO

5. If Amendment, Date Original

Filed(Month/Day/Year)

5600 BLAZER

PARKWAY, Â SUITE 200

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

DUBLIN, OHÂ 43017

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

Ownership Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Expiration Exercisable Date

Amount or Number of Shares

Derivative Security Direct (D) or Indirect

(I)

1

(Instr. 5)

Stock Option (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 04/19/2026 \stackrel{Common}{Stock} 45,000 $1.5$  D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Latkin Jed

5600 BLAZER PARKWAY SUITE 200

DUBLIN, OHÂ 43017

## **Signatures**

/s/ Kevin W.
Waite

\_\*\*Signature of Reporting Person

O4/27/2016

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests to the extent of 7,500 shares each month over a period of six consecutive months commencing on May 20, 2016, the one month anniversary of the date of grant.

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#### **Remarks:**

Exhibit Index - Exhibit 24 - Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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