Edgar Filing: Fierro Celeste - Form 4

Fierro Celest	e											
Form 4												
January 10, 2	2018											
FORM	4								PPROVAL			
		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287				
Check thi								January 31,				
if no long subject to		MENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: 2005			
Section 1				SECUR	ITIES		 Estimated average burden hours per 					
Form 4 or						response						
Form 5	Filed put	rsuant to S	Section 1	6(a) of the	e Securiti	es Ex	chang	e Act of 1934,				
obligatior may conti		(a) of the	Public Ut	ility Hold	ling Com	pany	Act of	f 1935 or Sectio	n			
See Instru 1(b).		30(h)	of the In	vestment	Company	v Act	of 194	40				
(Print or Type R	Responses)											
1. Name and Address of Reporting Person <u>*</u>			2. Issuer Name and Ticker or Trading				g	5. Relationship of Reporting Person(s) to				
Fierro Celes		Symbol					Issuer					
			ONE G1	ONE Group Hospitality, Inc. [STKS]					(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction									
	(Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify							
	14TH STREET,	2ND	01/08/20	01/08/2018					below) below)			
FLOOR								SVP of M	lktg, Sales & E	vents		
	4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check						
	Filed(Month/Day/Year)					Applicable Line)						
								X Form filed by (One Reporting Pe Iore than One Re			
NEW YORE	K, NY 10014							Person		porting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned		
1.Title of	2. Transaction Dat	e 2A. Dee	emed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if		Transaction(A) or Disposed of					Form: Direct			
(Instr. 3)		any (Month/	D/V)	Code (D) (Instr. 8) (Instr. 3, 4 and 5)			-	Beneficially	(D) or Indirect (I)	Beneficial		
		(Month/	Day/Year)					Indirect (I) (Instr. 4)	Ownership (Instr. 4)			
					(\mathbf{A})		Reported	,	(
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common												
Stock, par												
value	01/08/2018			G	41,250	А	\$0	693,244 <u>(1)</u> <u>(2)</u>	D			
\$0.0001 per												
share												

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	Director 10% Owner Officer		Other				
Fierro Celeste 411 WEST 14TH STREET, 2ND FLOOR NEW YORK, NY 10014			SVP of Mktg, Sales & Events					
Signatures								

/s/ Celeste 01/10/2018 Fierro

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Also reflects the reduction of 6,317 shares held for the benefit of the Reporting Person by the TOG Liquidating Trust as a result of the expiration of the unexercised public warrants.

Of the shares of common stock reported: (i) 125,000 are represented by restricted stock units that will vest in equal increments upon the first five anniversaries of the grant date, with 25,000 units vesting on each such date; (ii) 100,000 are represented by restricted stock units

(2) Instrive anniversaries of the grant date, with 25,000 units vesting on each such date, (ii) 100,000 are represented by restricted stock units that will vest in equal increments upon the first five anniversaries of the grant date, with 20,000 units vesting on each date; and (iii) 50,000 are represented by restricted stock units that will vest upon the achievement of certain objectives.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.