Edgar Filing: LEHMAN KENNETH R - Form 4

| LEHMAN K | ENNETH R | | | | | | | | | | |
|--|---|--|---|---|-----------|------------------------|-----------|---|----------------------------|-------------------------|--|
| Form 4 | | | | | | | | | | | |
| January 15, 2 | 2019 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHAN | | | | | | | | | OMB APPROVAL | | |
| | UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | 3235-0287 | |
| Check th | | | | U . | | | | | Expires: | January 31, | |
| if no long subject to | | MENT O | Estimated average | | | | | | | | |
| Section 1 | | | SECURITIES | | | | | | burden hours per | | |
| Form 4 o | | | | | | | | response 0.5 | | | |
| Form 5 | Theu pursualit to Section 10(a) of the Securities Exchange Act of 1934, | | | | | | | | | | |
| obligation may cont | | (a) of the | Public Ut | ility Hold | ling Con | npan | y Act of | 1935 or Section | n | | |
| See Instru | | 30(h) | of the In | vestment | Compan | iy Ac | t of 194 | 0 | | | |
| 1(b). | | | | | | | | | | | |
| | | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1 Name and A | ddress of Reporting | Person * | 2. Issuer Name and Ticker or Trading 5 | | | | | 5. Relationship of Reporting Person(s) to | | | |
| 1. Name and Address of Reporting Person <u>*</u> LEHMAN KENNETH R | | | 2. Issuer Symbol | name and | Ticker or | Tradi | ng | Issuer | | | |
| | Village Bank & Trust Financial Corp. [VBFC] | | | | | (Check all applicable) | | | | | |
| | | | | | | | | | | | |
| | | a.c.1.11. \ | | - | | | | D | ¥ 100 | | |
| | | | | e of Earliest Transaction | | | | DirectorX 10% Owner Officer (give title Other (specify | | | |
| 1408 N ABINGDON ST | | | (Month/Day/Year) 01/08/2019 | | | | | below) below) | | | |
| 1100101111 | | | | | | | | | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| | | | | | | | | | | | |
| memore | , | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Da | te 2A. Deer | med | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Year |) Executio | on Date, if | Transaction(A) or Disposed of (D) | | | | Securities | Form: Direct | | |
| (Instr. 3) | | any (Month/ | Code (Instr. 3, 4 and 5) $(L + 0)$ | | | | | Beneficially Owned | · · / | Beneficial | |
| | | (INIOIIUI/I | Day/Year) | (Instr. 8) | ilisu. o) | | | Following | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | | | | Reported | (1115411 1) | (116411-1) | |
| | | | | | | (A) or | | Transaction(s) | | | |
| | | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Common | 01/08/2019 | | | L | 1 | ۸ | \$ | 715,021 | D | | |
| Stock | 01/08/2019 | | L | 1 A | | 30.19 | /13,021 | D | | | |
| Common | | | | | | | \$ | | | | |
| Stock | 01/14/2019 | | | Р | 1,966 | А | \$1.01 | 716,987 | D | | |
| | | | | | | | • • • | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | Amou Unde Secur | le and unt of rlying tities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addro | ess | Relationships | | | | | | |
|---|------------|---------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| LEHMAN KENNETH R 1408 N ABINGDON ST ARLINGTON, VA 22207 | | Х | | | | | | |
| Signatures | | | | | | | | |
| /s/ Kenneth R. Lehman | 01/15/2019 | | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.