

Davey Deirdre N  
Form 4  
May 21, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Davey Deirdre N

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMERIPRISE FINANCIAL INC  
[AMP]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
1098 AMERIPRISE FINANCIAL  
CENTER

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/17/2007

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Sr VP Corp Comm/Cmnity Rltns

(Street)  
MINNEAPOLIS, MN 55474

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|---------------------------------------|---|---|---|---|--|---|---|----------|
|                                       |   |   | Code                                    | V   | Amount   | (A)<br>or<br>(D)  | Price   |          |
| Common<br>Stock                       | 05/17/2007                              |   | M                                       |   | 3,610  | A   | \$<br>20.8187   | 7,856 D  |
| Common<br>Stock                       | 05/17/2007                              |   | M                                       |   | 3,610  | A   | \$<br>31.3125   | 11,466 D |
| Common<br>Stock                       | 05/17/2007                              |   | S                                       |   | 1,800  | D   | \$ 61.5   | 9,666 D  |
| Common<br>Stock                       | 05/17/2007                              |   | S                                       |   | 100  | D   | \$ 61.49  | 9,566 D  |
| Common<br>Stock                       | 05/17/2007                              |   | S                                       |   | 320  | D   | \$ 61.48  | 9,246 D  |

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|              |            |   |           |   |          |           |   |                                     |
|--------------|------------|---|-----------|---|----------|-----------|---|-------------------------------------|
| Common Stock | 05/17/2007 | S | 700       | D | \$ 61.47 | 8,546     | D |                                     |
| Common Stock | 05/17/2007 | S | 4,300     | D | \$ 61.46 | 4,246     | D |                                     |
| Common Stock | 05/18/2007 | I | 1,937.298 | A | \$ 61.44 | 2,247.426 | I | By<br>401(k)<br>Plan <sup>(1)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy)       | \$ 21.8187   | 05/17/2007                           |  | M                              | 3,610   | <sup>(2)</sup> 01/26/2013                                | Common Stock  | 3,610                         |
| Employee Stock Option (right to buy)       | \$ 31.3125   | 05/17/2007                           |  | M                              | 3,610   | <sup>(3)</sup> 01/25/2014                                | Common Stock  | 3,610                         |

## Reporting Owners

| Reporting Owner Name / Address                      | Relationships                    |
|---|----------------------------------|
|   | Director 10% Owner Officer Other |
| Davey Deirdre N<br>1098 AMERIPRISE FINANCIAL CENTER | Sr VP Corp<br>Comm/Cmnity        |

MINNEAPOLIS, MN 55474

Rltns

## Signatures

/s/ David H. Weiser for Deirdre N.  
Davey

05/21/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
  
Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise  
(1) Financial 401(k) plan as of May 18, 2007. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of the Ameriprise stock.  
(2) The option vested 100% on January 27, 2007.  
(3) The option vests in two equal installments beginning on January 24, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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