Aon plc Form 3 May 11, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Aon plc [AON] Zern John (Month/Day/Year) 05/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 200 EAST RANDOLPH ST. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CHICAGO, ILÂ 60601 (give title below) (specify below) Form filed by More than One CEO, Global Health & Benefits Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class A Ordinary Shares 44,588 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Restricted Share Units (Right to Receive)	(1)	05/21/2018	Class A Ordinary Shares	604	\$ <u>(2)</u>	D	Â
Restricted Share Unit (Right to Receive)	(3)	08/21/2019	Class A Ordinary Shares	3,450	\$ <u>(2)</u>	D	Â
Restricted Share Unit (Right to Receive)	(4)	02/19/2018	Class A Ordinary Shares	582	\$ <u>(2)</u>	D	Â
Restricted Share Unit (Right to Receive)	(5)	02/18/2019	Class A Ordinary Shares	1,481	\$ <u>(2)</u>	D	Â
Restricted Share Unit (Right to Receive)	(6)	02/16/2020	Class A Ordinary Shares	1,612	\$ (2)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Other	
Zern John 200 EAST RANDOLPH ST. CHICAGO, IL 60601	Â	Â	CEO, Global Health & Benefits	Â	

## **Signatures**

/s/Molly Johnson, pursuant to a a power of attorney from John Zern 05/11/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A restricted share unit award was granted on May 21, 2013 and vests in accordance with the terms of the Aon Stock Incentive Plan as follows: 20% of the award vests on each of the first through five anniversaries of the date of the grant.
- (2) The restricted share unit award converts to Class A Ordinary Shares on a 1-for-1 basis. In accordance with UK law, the reporting person agreed to pay the issuer the nominal value of US\$0.01 per share issued to the reporting person.
- (3) A restricted share unit award was granted on August 21, 2014 and vests in accordance with the terms of the Aon Stock Incentive Plan as follows: 20% of the award vests on each of the first through five anniversaries of the date of the grant.
- (4) A restricted share unit award was granted on February 19, 2015 and vests in accordance with the terms of the Aon Stock Incentive Plan as follows: 33 1/3% of the award vests on each of the first through third anniversaries of the date of the grant.
- A restricted share unit award was granted on February 18, 2016 and vests in accordance with the terms of the Aon Stock Incentive Plan as follows: 33 1/3% of the award vests on each of the first through third anniversaries of the date of the grant.
- A restricted share unit award was granted on February 16, 2017 and vests in accordance with the terms of the Aon Stock Incentive Plan as follows: 33 1/3% of the award vests on each of the first through third anniversaries of the date of the grant.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.