## Edgar Filing: Huml David W. - Form 4

| Form 4  | W.                             |   |                                      |   |     |   |                    |                      |   |   |   |  |
|---|--------------------------------|---|--------------------------------------|---|-----|---|--------------------|----------------------|---|---|---|--|
| December 11   | 1, 2018                        |   |                                      |   |     |   |                    |                      |   |   |   |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549  |                                |   |                                      |   |     |   | -                  | 9PROVAL<br>3235-0287 |   |   |   |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | 6.<br>r<br>Filed<br>inue.      | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>20(b) of the Investment Company Act of 1940 |                                      |   |     |   |                    |                      |   | Expires:January 3<br>200Estimated average<br>burden hours per<br>response0. |   |  |
| (Print or Type F  | Responses)                     |   |                                      |   |     |   |                    |                      |   |   |   |  |
| Huml David W. Sym   |                                |   | Symbol                               | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>FENNANT CO [TNC] |     |   |                    |                      | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |   |   |  |
| (Mo   |                                |   |                                      | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>12/07/2018         |     |   |                    |                      | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>SVP, Global Marketing                                    |   |   |  |
|   |                                |   |                                      | If Amendment, Date Original<br>ed(Month/Day/Year)                         |     |   |                    |                      | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |   |   |  |
| MINNEAPO  | OLIS, MN 554                   | 422   |                                      |   |     |   |                    |                      | Form filed by M<br>Person   | More than One Re  | eporting  |  |
| (City)  | (State)                        | (Zip)   | Table                                | e I - Non   | -De | rivative S  | Securi             | ties Ac              | quired, Disposed of   | f, or Beneficial  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction<br>(Month/Day/Y | ear) Execut<br>any  | eemed<br>ion Date, if<br>n/Day/Year) | Code<br>(Instr. 8   | 8)  | 4. Securit<br>nAcquired<br>Disposed<br>(Instr. 3,<br>Amount | l (A) o<br>l of (D | )                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)        | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 12/07/2018                     |   |                                      | А   |     | 5,044<br>(1)  | А                  | \$0                  | 12,613  | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                               | Relationships |           |                       |       |  |  |  |  |  |
|--|---------------|-----------|-----------------------|-------|--|--|--|--|--|
|  | Director      | 10% Owner | Officer               | Other |  |  |  |  |  |
| Huml David W.<br>701 N. LILAC DRIVE<br>MINNEAPOLIS, MN 55422 |               |           | SVP, Global Marketing |       |  |  |  |  |  |
| Signatures   |               |           |                       |       |  |  |  |  |  |
| /s/ Kristin A. Stokes on behalf<br>Huml                      | 12/11/2018    |           |                       |       |  |  |  |  |  |
| <u>**</u> Signature of Reporting Per                         | rson          |           | Date                  |       |  |  |  |  |  |
| Evelopetion of De  |               |           |                       |       |  |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit grant vesting on December 7, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.