#### HALCON RESOURCES CORP

Form 4 March 03, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(State)

Mize Mark

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

HALCON RESOURCES CORP

[HK]

3. Date of Earliest Transaction (Month/Day/Year)

1000 LOUISIANA STREET, SUITE 02/27/2014

(Zip)

(Middle)

6700

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

> below) EVP, CFO and Treasurer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HOUSTON, TX 77002

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

(D) Price Amount

Code V

Common 02/27/2014 Stock

\$0 D A 77,222 Α 674,979

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of                | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5. Number of      | 6. Date Exer        | cisable and        | 7. Title and A        | Amount of                  |
|----------------------------|-------------|---------------------|--------------------|-----------------------|-------------------|---------------------|--------------------|-----------------------|----------------------------|
| Derivative                 | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionDerivative |                   | Expiration Date     |                    | Underlying Securities |                            |
| Security                   | or Exercise |                     | any                | Code                  | Securities        | (Month/Day/Year)    |                    | (Instr. 3 and 4)      |                            |
| (Instr. 3)                 | Price of    |                     | (Month/Day/Year)   | (Instr. 8)            | Acquired (A) or   |                     |                    |                       |                            |
|                            | Derivative  |                     |                    |                       | Disposed of (D)   |                     |                    |                       |                            |
|                            | Security    |                     |                    |                       | (Instr. 3, 4, and |                     |                    |                       |                            |
|                            |             |                     |                    |                       | 5)                |                     |                    |                       |                            |
|                            |             |                     |                    | Code V                | (A) (D)           | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount of Number of Shares |
| Performance<br>Share Units | <u>(1)</u>  | 02/27/2014          |                    | A                     | 231,973           | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock       | 463,940                    |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mize Mark

1000 LOUISIANA STREET, SUITE 6700 HOUSTON, TX 77002

EVP, CFO and Treasurer

### **Signatures**

David S. Elkouri, Attorney-in-fact 03/03/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Performance Share Units ("PSU") will vest and thereupon represent the right to receive up to the aggregate number of shares of Halcon common stock reflected in the table above if the average market price of Halcon's common stock equals or exceeds a target

(1) threshold preceding the third anniversary of the grant date. Fewer shares will be received at lower prices relative to the target, subject to a floor below which no PSUs will vest. Any PSUs that are not vested at such time will terminate. PSUs are subject to forfeiture under certain circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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