Intra-Cellular Therapies, Inc.

Form 4

January 05, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * RIGGS RORY B                     |  |               | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Intra-Cellular Therapies, Inc. [ITCI] |  |  |                  |   | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |   |  |
|--|--|---------------|--|--|--|------------------|---|--|--|---|--|
| (Last) (First) (Middle)  C/O INTRA-CELLULAR THERAPIES, INC., 3960 BROADWAY |  |               | 3. Date of Earliest Transaction (Month/Day/Year) 12/31/2014                                    |  |  |                  |   | X Director 10% Owner Other (specify below)   |  |   |  |
|  | (Street) 4. If Amendment, I Filed(Month/Day/Ye |               |  |  | e Original                               |                  | <ul><li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul> |  |  |   |  |
| NEW YORK, NY 10032   |  |               |  |  |  |                  |   | Form filed by More than One Reporting Person   |  |   |  |
| (City)   | (State)  | (Zip)         | Table  | I - Non-De                             | erivative S                              | Securi           | ties Ac   | quired, Disposed   | of, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                                       | 2. Transaction I<br>(Month/Day/Ye              | ar) Execution | emed<br>on Date, if<br>'Day/Year)  | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securion Acquired Disposed (Instr. 3, | (A) o<br>l of (D | ))  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 12/31/2014                                     |               |  | A                                      | 601                                      | A                | \$0   | 14,441   | D  |   |  |
| Common<br>Stock  |  |               |  |  |  |                  |   | 240,955  | I  | Held by<br>New<br>Ventures I,<br>LLC (1)                          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title     | e and             | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|--------------|-------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate         | Amour        | nt of             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underl       | ying              | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit      | ties              | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. :    | 3 and 4)          |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |              |                   |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |              |                   |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |              |                   |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |              |                   |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |              |                   |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |              |                   |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |              | or<br>Name la sur |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Number |                   |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |               |             |              | of                |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | ,            | Shares            |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address     | Keiauonsinps |           |         |       |  |  |
|------------------------------------|--------------|-----------|---------|-------|--|--|
|                                    | Director     | 10% Owner | Officer | Other |  |  |
| RIGGS RORY B                       |              |           |         |       |  |  |
| C/O INTRA-CELLULAR THERAPIES, INC. | X            |           |         |       |  |  |
| 3960 BROADWAY                      | Λ            |           |         |       |  |  |
| NEW YORK, NY 10032                 |              |           |         |       |  |  |

### **Signatures**

/s/ Lawrence J. Hineline, Attorney-in-fact

01/05/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Riggs is Managing Member of New Ventures I, LLC and may be deemed to beneficially own the securities held by New Ventures I,

(1) LLC. Mr. Riggs disclaims beneficial ownership of the securities held by New Ventures I, LLC except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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