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INOVIO PHARMACEUTICALS, INC. Form 8-K

June 06, 2014

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report

(Date of earliest event reported)

June 5, 2014

INOVIO PHARMACEUTICALS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-14888 (Commission

33-0969592 (I.R.S. Employer

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of incorporation) File Number) Identification No.)

1787 Sentry Parkway West

Building 18, Suite 400

Blue Bell, Pennsylvania 19422
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: (267) 440-4200

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 5.03. Amendment to Articles of Incorporation or Bylaws; Change in Fiscal Year.

On June 5, 2014 the Company filed a certificate of amendment to its Certificate of Incorporation implementing a 1-for-4 reverse stock split of the Company s common stock, where each 4 shares of issued and outstanding common stock and equivalents converted into 1 share of common stock.

The 1-for-4 reverse stock split became effective as of 5 p.m., eastern time, Thursday, June 5, 2014, and the trading of Company s common stock on a post-reverse split basis commenced on Friday, June 6, 2014.

Item 9.01. Financial Statements and Exhibits.

Exhibit

No. Exhibit Description

3.1 Certificate of Amendment dated June 5, 2014

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INOVIO PHARMACEUTICALS, INC.

By: /s/ Peter Kies Peter Kies, Chief Financial Officer

Date: June 6, 2014