Achaogen Inc Form SC 13G February 13, 2015

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

#### **SCHEDULE 13G**

Under the Securities Exchange Act of 1934

(Amendment No. )\*

ACHAOGEN, INC.

(Name of Issuer)

**COMMON STOCK** 

(Title of Class of Securities)

004449104

(CUSIP Number)

December 31, 2014

(Date of Event Which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

" Rule 13d-1(b)

"Rule 13d-1(c)

x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

CUSIP No. 004449104				Page 2 of 15	
1.	Name of Reporting Persons				
2.	Venrock Partners, L.P. Check the Appropriate Box if a Member of a Group (See Instructions)				
3.	(a) $x^1$ SEC US		LY		
4.	Citizen	ship oı	Place of Organization		
	Delawa	are 5.	Sole Voting Power		
Nun	mber of				
Sł	nares	6.	0 Shared Voting Power		
Bene	eficially				
	ned by	7.	1,746,461 <sup>2</sup> Sole Dispositive Power		
Rep	oorting				
Pe	erson	8.	0 Shared Dispositive Power		
V	Vith:				
9.	Aggreg	ate An	1,746,461 <sup>2</sup> mount Beneficially Owned by Each Reporting Person		
10.	1,746,4 Check i		Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "		

Percent of Class Represented by Amount in Row (9)

 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

PN

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
- Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P., and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.
- This percentage is calculated based upon 17,757,874 shares of the Issuer s common stock outstanding as of October 31, 2014, as set forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 10, 2014.

CUSIP No. 004449104				Page 3 of 15		
1.	. Name of Reporting Persons					
2.	Venrock Associates IV, L.P. Check the Appropriate Box if a Member of a Group (See Instructions)  (a) x <sup>1</sup> (b) "					
3.	SEC US	SE ON	LY			
4.	Citizen	ship or	Place of Organization			
	Delawa	are 5.	Sole Voting Power			
Nun	nber of					
	nares	6.	0 Shared Voting Power			
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	ned by	7.	1,746,461 <sup>2</sup> Sole Dispositive Power			
Rep	orting					
	erson Vith:	8.	0 Shared Dispositive Power			
9.	Aggreg	ate An	1,746,461 <sup>2</sup> nount Beneficially Owned by Each Reporting Person			

Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "

Percent of Class Represented by Amount in Row (9)

1,746,4612

10.

11.

 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

PN

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
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CUS	CUSIP No. 004449104				
1.	Name of Reporting Persons				
2.	Venrock Entrepreneurs Fund IV, L.P.  Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a) x <sup>1</sup>	(b)	· · ·		
3.	SEC US	E ON	LY		
4.	4. Citizenship or Place of Organization				
	Delawar	e 5.	Sole Voting Power		
Num	nber of				
Sh	nares	6.	0 Shared Voting Power		
Bene	ficially				
Owr	ned by		1,746,461 <sup>2</sup>		
Е	ach	7.	Sole Dispositive Power		
Rep	orting				
Person		8.	0 Shared Dispositive Power		
W	ith:				
9.	Aggrega	te Am	1,746,461 <sup>2</sup> nount Beneficially Owned by Each Reporting Person		
1,746,461 <sup>2</sup>					

Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "

Percent of Class Represented by Amount in Row (9)

10.

11.

7

Page 4 of 15

 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

PN

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
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CUSIP No. 004449104				Page 5 of 15		
1.	Name o	of Repo	orting Persons			
2.	Venrock Partners Management, LLC Check the Appropriate Box if a Member of a Group (See Instructions)  (a) x <sup>1</sup> (b) "					
3.	SEC U	SE ON	ILY			
4.	Citizen	ship o	Place of Organization			
	Delawa	are 5.	Sole Voting Power			
Nun	nber of					
	nares	6.	0 Shared Voting Power			
Bene	eficially					
	ned by Each	7.	1,746,461 <sup>2</sup> Sole Dispositive Power			
Rep	oorting					
Pe	erson	8.	0 Shared Dispositive Power			
W	Vith:					
9.	Aggreg	gate Ar	1,746,461 <sup>2</sup> nount Beneficially Owned by Each Reporting Person			
10.	1,746,4 Check		Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "			

Percent of Class Represented by Amount in Row (9)

 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

00

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
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CUS	SIP No. 0	04449	0104
1.	Name of	f Repo	orting Persons
2.		he Ap	agement IV, LLC propriate Box if a Member of a Group (See Instructions)
3.	SEC US	SE ON	LY
4.	Citizens	ship or	Place of Organization
	Delawar	re 5.	Sole Voting Power
Nun	nber of		
Sł	nares	6.	0 Shared Voting Power
Bene	eficially		
	ned by	7.	1,746,461 <sup>2</sup> Sole Dispositive Power
Rep	oorting		
	erson	8.	0 Shared Dispositive Power
V	Vith:		
9.	Aggrega	ate An	1,746,461 <sup>2</sup> nount Beneficially Owned by Each Reporting Person
10.	1,746,46 Check if		Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "

Percent of Class Represented by Amount in Row (9)

11.

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 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

00

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
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- This percentage is calculated based upon 17,757,874 shares of the Issuer s common stock outstanding as of October 31, 2014, as set forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 10, 2014.

CUS	IP No.	004449	9104	Page 7 of 15
1.	Name o	of Repo	orting Persons	
2.			ment IV, LLC propriate Box if a Member of a Group (See Instructions)	
	(a) x <sup>1</sup>	(b	) "	
3.	SEC U	SE ON	LY	
4.	Citizen	ship oı	Place of Organization	
	Delawa	are 5.	Sole Voting Power	
Nun	nber of			
Sł	nares	6.	0 Shared Voting Power	
Bene	eficially			
	ned by	7.	1,746,461 <sup>2</sup> Sole Dispositive Power	
Rep	orting			
Pe	erson	8.	0 Shared Dispositive Power	
W	/ith:			
9.	Aggreg	ate An	1,746,461 <sup>2</sup> nount Beneficially Owned by Each Reporting Person	
10.	1,746,4 Check i		Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) "	

Percent of Class Represented by Amount in Row (9)

 $9.8\%^{3}$ 

12. Type of Reporting Person (See Instructions)

00

- Venrock Partners, L.P., Venrock Associates IV, L.P., Venrock Entrepreneurs Fund IV, L.P., Venrock Partners Management, LLC (the general partner of Venrock Partners, L.P.), Venrock Management IV, LLC (the general partner of Venrock Associates IV, L.P.) and VEF Management IV, LLC (the general partner of Venrock Entrepreneurs Fund IV, L.P.) are members of a group for purposes of this Schedule 13G.
- Consists of 289,912 shares of common stock owned by Venrock Partners, L.P., 1,421,623 shares of common stock owned by Venrock Associates IV, L.P., and 34,926 shares of common stock owned by Venrock Entrepreneurs Fund IV, L.P.
- This percentage is calculated based upon 17,757,874 shares of the Issuer s common stock outstanding as of October 31, 2014, as set forth in the Issuer s quarterly report on Form 10-Q filed with the Securities and Exchange Commission on November 10, 2014.

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Introductory Note: This Statement on Schedule 13G is filed on behalf of Venrock Partners, L.P., a limited partnership organized under the laws of the State of Delaware (VP), Venrock Associates IV, L.P., a limited partnership organized under the laws of the State of Delaware (Venrock IV), Venrock Entrepreneurs Fund IV, L.P., a limited partnership organized under the laws of the State of Delaware (Entrepreneurs Fund), Venrock Partners Management, LLC, a limited liability company organized under the laws of the State of Delaware (VP Management), Venrock Management IV, LLC, a limited liability company organized under the laws of the State of Delaware (Venrock Management) and VEF Management IV, LLC, a limited liability company organized under the laws of the State of Delaware (VEF Management and collectively with VP, Venrock IV, Entrepreneurs Fund and Venrock Management, the Venrock Entities) in respect of shares of common stock of Achaogen, Inc.

#### Item 1.

- (a) Name of Issuer Achaogen, Inc.
- (b) Address of Issuer s Principal Executive Offices 7000 Shoreline Court, Suite 371

South San Francisco, CA 94080

#### Item 2.

(a) Name of Person Filing Venrock Partners, L.P.

Venrock Associates IV, L.P.

Venrock Entrepreneurs Fund IV, L.P.

Venrock Partners Management, LLC

Venrock Management IV, LLC

VEF Management IV, LLC

(b) Address of Principal Business Office or, if none, Residence

New York Office: Palo Alto Office: Cambridge Office:

530 Fifth Avenue 22nd Floor New York, NY 10036 3340 Hillview Avenue Palo Alto, CA 94304 55 Cambridge Parkway Suite 100 Cambridge, MA 02142

(c) Citizenship

Each of VP, Venrock IV and Entrepreneurs Fund are limited partnerships organized in the State of Delaware. Each of VP Management, Venrock Management and VEF Management are limited liability companies organized in the State of Delaware.

- (d) Title of Class of Securities Common Stock
- (e) CUSIP Number 004449104

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Item 3. If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable

# Item 4. Ownership

(a) Amount Beneficially Owned as of December 31, 2014:

Venrock Partners, L.P.	1,746,461(1)
Venrock Associates IV, L.P.	1,746,461(1)
Venrock Entrepreneurs Fund IV, L.P.	1,746,461(1)
Venrock Partners Management, LLC	1,746,461(1)
Venrock Management IV, LLC	1,746,461(1)
VEF Management IV, LLC	1,746,461(1)

(b) Percent of Class as of December 31, 2014:

Venrock Partners, L.P.	9.8%
Venrock Associates IV, L.P.	9.8%
Venrock Entrepreneurs Fund IV, L.P.	9.8%
Venrock Partners Management, LLC	9.8%
Venrock Management IV, LLC	9.8%
VEF Management IV, LLC	9.8%

- (c) Number of shares as to which the person has, as of December 31, 2014:
- (i) Sole power to vote or to direct the vote

Venrock Partners, L.P.	0
Venrock Associates IV, L.P.	0
Venrock Entrepreneurs Fund IV, L.P.	0
Venrock Partners Management, LLC	0
Venrock Management IV, LLC	0
VEF Management IV, LLC	0

(ii) Shared power to vote or to direct the vote

Venrock Partners, L.P.	1,746,461(1)
Venrock Associates IV, L.P.	1,746,461(1)
Venrock Entrepreneurs Fund IV, L.P.	1,746,461(1)

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	Pag
Venrock Partners Management, LLC	1,746,461(1)
Venrock Management IV, LLC	1,746,461(1)
VEF Management IV, LLC	1,746,461(1)
(IV) Sole power to dispose or to direct the disposition of	
Venrock Partners, L.P.	0
Venrock Associates IV, L.P.	0
Venrock Entrepreneurs Fund IV, L.P.	0
Venrock Partners Management, LLC	0
Venrock Management IV, LLC	0
VEF Management IV, LLC	0
(iv) Shared power to dispose or to direct the disposition of	
Venrock Partners, L.P.	1,746,461(1)
Venrock Associates IV, L.P.	1,746,461(1)
Venrock Entrepreneurs Fund IV, L.P.	1,746,461(1)
Venrock Partners Management, LLC	1,746,461(1)
Venrock Management IV, LLC	1,746,461(1)
VEF Management IV, LLC	1,746,461(1)

(1) These shares are owned directly as follows: 289,912 shares of common stock are owned by Venrock Partners, L.P., 1,421,623 shares of common stock are owned by Venrock Associates IV, L.P., and 34,926 shares of common stock are owned by Venrock Entrepreneurs Fund IV, L.P.

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# Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ".

# Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable

# Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not Applicable

# Item 8. Identification and Classification of Members of the Group

Not Applicable

# Item 9. Notice of Dissolution of a Group

Not Applicable

#### Item 10. Certification

Not Applicable

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## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Statement is true, complete and correct.

Dated: February 13, 2015

# Venrock Partners, L.P.

By: Venrock Partners Management, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

#### Venrock Associates IV, L.P.

By: Venrock Management IV, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

# Venrock Entrepreneurs Fund IV, L.P.

By: VEF Management IV, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

# Venrock Management IV, LLC

By: /s/ David L. Stepp Authorized Signatory

#### **VEF Management IV, LLC**

By: /s/ David L. Stepp Authorized Signatory

# Venrock Partners Management, LLC

By: /s/ David L. Stepp Authorized Signatory

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# **EXHIBITS**

A: Joint Filing Agreement

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#### **EXHIBIT A**

#### JOINT FILING AGREEMENT

In accordance with Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended, the undersigned agree to the joint filing on behalf of each of them of a statement on Schedule 13G (including amendments thereto) with respect to the Common Stock of Achaogen, Inc. and further agree that this agreement be included as an exhibit to such filing. Each party to the agreement expressly authorizes each other party to file on its behalf any and all amendments to such statement. Each party to this agreement agrees that this joint filing agreement may be signed in counterparts.

In evidence whereof, the undersigned have caused this Agreement to be executed on their behalf this 13<sup>th</sup> day of February, 2015.

# Venrock Partners, L.P.

By: Venrock Partners Management, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

#### Venrock Associates IV, L.P.

By: Venrock Management IV, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

## Venrock Entrepreneurs Fund IV, L.P.

By: VEF Management IV, LLC

Its: General Partner

By: /s/ David L. Stepp Authorized Signatory

#### Venrock Management IV, LLC

By: /s/ David L. Stepp Authorized Signatory

## **VEF Management IV, LLC**

By: /s/ David L. Stepp

**Authorized Signatory** 

# **Venrock Partners Management, LLC**

By: /s/ David L. Stepp Authorized Signatory