NantKwest, Inc. Form 8-K November 27, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 25, 2015

NantKwest, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-37507 (Commission

43-1979754 (IRS Employer

of incorporation)

File Number) 3530 John Hopkins Court

Identification No.)

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San Diego, California 92121

(Address of principal executive offices, including zip code)

(858) 633-0300

(Registrant s telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

In connection with reducing the size of the NantKwest, Inc. (the Company) board of directors from nine (9) to seven (7) members, on November 25, 2015, each of Henry Ji and Robert Rosen resigned from the Company s board of directors effective as of December 31, 2015. The board of directors will continue to qualify as independent under NASDAQ listing standards following their resignations.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 27, 2015

NANTKWEST, INC.

By: /s/ Patrick Soon-Shiong Patrick Soon-Shiong

Chief Executive Officer and Chairman