Edgar Filing: DUNBAR GEORGE W JR - Form 4

DUNBAR GEORGE W JR

Form 4

January 03, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

		the Public Utility Ho (h) of the Investment	Elding Company Act of 1935 Company Act of 1940					
[]		onger subject to Secti ontinue. See Instruct	on 16. Form 4 or Form 5 ion 1(b).					
1.	Name and Address o							
	Dunbar, Jr.	George	₩.					
	(Last)	(First)	(Middle)					
	109 via Santa Mari	.a						
		(Street)						
	Los Gatos,	CA	95030-6333					
	(City)	(State)	(Zip)					
2.	Issuer Name and Ti	cker or Trading Symbo	pl					
Competitive Technologies, Inc. (CTT)								
3. (Vol	I.R.S. Identificat untary)	ion Number of Reporti	ng Person, if an entity					
4.	Statement for Mont	.h/Day/Year						
	January 2, 2003							
5.	If Amendment, Date	e of Original (Month/D	ay/Year)					
6.	Relationship of Re	porting Person(s) to	Issuer (Check all applicable)					
	<pre>[x] Director [] Officer (give</pre>		10% Owner Other (specify below)					
7.		nt/Group Filing (Check	: Applicable Line)					
		One Reporting Person More than one Reporti	ng Person					

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TABLE I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

+	2. Trans- action Date (Month/ Day/ Year)		3.Trans- action Code (Instr.8) + Code V	4.Securi Acquir (or Di (Instr + Amount	ties red (A) sposed r. 3,4 	of (d) and 5) + Price 	5 .	Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6.0w sh Fo Di (D) Ind (In
Common Stock	1/2/03	+	++ A	2,500	A	(1)	-+	7,525	+ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

TABLE II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

+	-+	+	+	+	-+	.+	-+	-+
11.	12.	13.	3A.	4.	5	16.	17.	18.
	1			1				1
	1			1				1
1	Conver-	1	1	1				
	sion			1	Number of		Title and Amount	c
	or			1	Derivative	Date Exer-	of Underlying	
	Exer-		Deemed	Trans-	Securities	cisable and	Securities	Pric
	cise		Execu-	action	Acquired (A)	Expiration	(Instr.3 and 4)	lof
1	Price	Trans-	tion	Code	or Disposed	Date (Month/	+	-+Deri
1						Day/Year)		tive
Title of	Deri-	Date	if any	(Instr.	(Instr. 3, 4		- or	Secu
Derivative	vative	Month/	(Month/	8)	and 5)	Date Expira-	- Number	lity
Security	Secur-	Day/	Day/	+	+	-+Exercis- tion	of	(Ins
	-						Title Shares	
+	+	+	+	+	+	+	-+	-+
Right to buy	\$2.14	1/2/03		A	10,000	1/2/03 1/2/13	•	
							Stock	

Explanation of Responses:

(1) Common stock acquired in connection with the 1996 Directors' Stock Participation Plan $\,$

/s/ Frank	c R.	McP	ike,	Jr.			January	3,	2003
Attorney	in	Fact	for	George	W.	Dunbar	Da	te	

^{*} If the form is filed by more than one reporting person, see Instruction $4\,(b)\,(v)$

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- ** Signature of Reporting Person
- $\ensuremath{^{**}}$ Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information Contained in this form are not required to respond unless the form displays a currently valid OMB Number.