GOLD FIELDS LTD
Form 6-K
March 09, 2016
UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
Form 6-K
Report of Foreign Private Issuer
Pursuant to Rules 13a-16 or 15d-16 under
the Securities Exchange Act of 1934
Dated 08 March2016
Commission File Number: 001-31318
GOLD FIELDS LIMITED
(Translation of registrant's name into English)
150 Helen Rd.
Sandown, Sandton 2196
South Africa
(Address of principal executive offices)
Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F
Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule

101(b)(7): \_

Gold Fields Limited (Reg. No. 1968/004880/06) (Incorporated in the Republic of South Africa) ("Gold Fields" or "the Company")

JSE, NYSE, DIFX Share Code: GFI

ISIN Code: ZAE000018123

# DEALING IN SECURITIES BY DIRECTORS OF GOLD FIELDS LIMITED AND MAJOR SUBSIDIARIES.

In compliance with paragraphs 3.63 to 3.74 of the Listings Requirements of JSE Limited ("the Listings Requirements") we hereby advise that Mr NA Chohan and Ms LN Samuel, directors of major subsidiaries Gold Fields Operations Ltd and GFI Joint Venture Holdings (Pty) Ltd, Mr A Baku, a director of a major subsidiary Gold Fields Ghana Ltd, Mr R Weston, A Munt, CW Du Toit and P Woodhouseall directors of a major subsidiary, Gold Fields Australasia Pty Ltd, sold some or all of their shares which were awarded to them in terms of the Gold Fields Limited 2012 Plan, as amended.

**Performance Shares (PS)** are conditionally awarded and the actual number of PS which should be settled to a participant three years after the original award date is determined by the company's performance measured against the performance of seven other major gold mining companies (the peer group) based on the relative change in the Gold Fields share price compared to the basket of respective US dollar share prices of the peer group. The number of shares to be settled will range from 0% to 200% of the conditional award.

Details of the transactions are set out below:

### **NA Chohan**

Nature of transaction
On market sale of shares in terms of the above 2012 scheme
Transaction Date
04 March 2016
Number of Shares
44,904
Class of Security
Ordinary Shares
Market Price per Share
R63.5567
Total Value
R2,853,950.06
Vesting Period

The award vests on the third anniversary following grant date Nature of interest

Direct and Beneficial

## **NA Chohan**

Nature of transaction

Off market vesting of shares in terms

of the above 2012 scheme

Transaction Date

04 March 2016

Number of Shares

8,000

Class of Security

Ordinary Shares

Market Price per Share

R66.1522

Total Value

R529,217.60

Vesting Period

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

## LN Samuel

Nature of transaction

On market sale of shares in terms of

the above 2012 scheme

Transaction Date

04 March 2016

Number of Shares

33,637

Class of Security

**Ordinary Shares** 

Market Price per Share

R63.5567

Total Value

R2,137,856.72

**Vesting Period** 

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

#### LN Samuel

Nature of transaction

Off market vesting of shares in terms

of the above 2012 scheme

**Transaction Date** 

04 March 2016

Number of Shares

44,589

Class of Security

**Ordinary Shares** 

Market Price per Share

R66.1522

Total Value

R2,949,660.45

**Vesting Period** 

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

#### A Baku

Nature of transaction

On market sale of shares in terms of

the above 2012 scheme

**Transaction Date** 

04 March 2016

Number of Shares

21,949

Class of Security

**Ordinary Shares** 

Market Price per Share

R63.5567

Total Value

R1,395,006.01

**Vesting Period** 

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

#### A Baku

Nature of transaction

Off market vesting of shares in terms

of the above 2012 scheme

Transaction Date

04 March 2016

Number of Shares

13,169

Class of Security

**Ordinary Shares** 

Market Price per Share

R63.5567

Total Value

R836,978.18

**Vesting Period** 

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

# A Munt

Nature of transaction
On market sale of shares in terms of
the above 2012 scheme
Transaction Date
04 March 2016
Number of Shares
35,676
Class of Security
Ordinary Shares
Market Price per Share
R63.5567

Total Value

R2,267,448.83

Vesting Period

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

#### P Woodhouse

Nature of transaction

On market sale of shares in terms of

the above 2012 scheme

**Transaction Date** 

04 March 2016

Number of Shares

38,146

Class of Security

**Ordinary Shares** 

Market Price per Share

R63.5567

Total Value

R2,424,433.88

Vesting Period

The award vests on 12 and 18 months

following grant date

Nature of interest

Direct and Beneficial

#### CW du Toit

Nature of transaction

On market sale of shares in terms of

the above 2012 scheme

**Transaction Date** 

04 March 2016

Number of Shares

40,614

Class of Security

**Ordinary Shares** 

Market Price per Share

R63.5567

Total Value

R2,581,291.81

**Vesting Period** 

The award vests on the third

anniversary following grant date

Nature of interest

Direct and Beneficial

#### E Balarezo

Nature of transaction

On market sale of shares in terms of

the above 2012 scheme

Transaction Date

04 March 2016

Number of Shares
78,364
Class of Security
Ordinary Shares
Market Price per Share
R63.5567
Total Value
R4,980,557.24
Vesting Period
The award vests on 12 and 18 months
following grant date
Nature of interest
Direct and Beneficial

In terms of paragraph 3.66 of the Listings requirements the necessary clearance to deal in the above securities has been obtained.

08 March 2016 Sponsor: JP Morgan Equities Limited

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on

its behalf by the undersigned, thereunto duly authorised.

**GOLD FIELDS LIMITED** 

Dated: 08 March 2016

By:

/s/ Nicholas J. Holland

Name:

Nicholas J. Holland

Title:

**Chief Executive Officer**