

DILLER JAMES
Form 5
December 06, 2011

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
DILLER JAMES

(Last) (First) (Middle)

C/O AVAGO TECHNOLOGIES US
INC., 350 WEST TRIMBLE
ROAD

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
Avago Technologies LTD [AVGO]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
10/30/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	04/12/2011	Â	G	30,000 D \$ 0	2,395 ⁽¹⁾	D	Â
Ordinary Shares	08/09/2011	Â	G	8,787 D \$ 0	66,213 ⁽²⁾	I	By Trust ⁽³⁾
Ordinary Shares	08/09/2011	Â	G	16,869 D \$ 0	58,131 ⁽²⁾	I	By Trust ⁽⁴⁾
Ordinary Shares	Â	Â	Â	Â Â Â	55,656	I	By Trust ⁽⁵⁾

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DILLER JAMES
C/O AVAGO TECHNOLOGIES US INC.
350 WEST TRIMBLE ROAD
SAN JOSE, CA 95131

Â X Â Â Â

Signatures

Patricia H. McCall, Attorney-in-Fact for James
Diller

12/06/2011

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 2,395 restricted share units. Upon vesting thereof, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) restricted stock unit.
- (2) The James V. Diller and June P. Diller Trust UA 7/20/77 (the "Diller Trust") received 8,767 shares on August 9, 2011 from the June Diller Annuity Trust and 16,869 shares on August 9, 2011 from the James Diller Annuity Trust.
- (3) Shares held by the June P. Diller Annuity Trust - 2010B dated May 10, 2010 (the "June Diller Annuity Trust") for which the Reporting Person serves as Trustee.
- (4) Shares held by the James V. Diller Annuity Trust - 2010B dated May 10, 2010 (the "James Diller Annuity Trust") for which the Reporting Person serves as Trustee.
- (5) Shares held by the Diller Trust for which the Reporting Person serves as Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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