

DILLER JAMES

Form 4

April 05, 2012

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
DILLER JAMES

(Last) (First) (Middle)

**C/O AVAGO TECHNOLOGIES
U.S. INC., 350 WEST TRIMBLE
ROAD**

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction
(Month/Day/Year)
04/04/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Ordinary Shares	04/04/2012		A		2,543 (1)	\$ 0	4,938 (2) D
Ordinary Shares							55,656 (3) I By Trust
Ordinary Shares							66,213 (4) I By Trust
Ordinary Shares							58,131 (5) I By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F Der Sec (Ins
				Code	V	(A)	(D)	
Stock Option (Right to Buy)	\$ 37.41	04/04/2012		A		7,630	<u>(6)</u> 04/03/2017	Ordinary Shares 7,630

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DILLER JAMES
C/O AVAGO TECHNOLOGIES U.S. INC.
350 WEST TRIMBLE ROAD
SAN JOSE, CA 95131

X

Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for James
Diller

04/05/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted share units ("RSUs") pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan (the "Plan").

(1) Upon vesting thereof, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in full on April 4, 2013, subject to the Reporting Person's continuing service on the vesting date.

(2) Includes 2,543 RSUs.

(3) Shares held by the James V. Diller and June P. Diller Trust UA 7/20/77, for which the Reporting Person serves as Trustee.

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- (4) Shares held by the June P. Diller Annuity Trust-2010B dated May 10, 2010, for which the Reporting Person serves as Trustee.
- (5) Shares held by the James V. Diller Annuity Trust-2010B dated May 10, 2010, for which the Reporting Person serves as Trustee.
- (6) Grant of option to purchase ordinary shares pursuant to the Plan. The option vests in full on April 4, 2013, subject to the Reporting Person's continuing service on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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