Edgar Filing: VERTEX PHARMACEUTICALS INC / MA - Form 4

VERTEX PHARMACEUTICALS INC / MA

Form 4

October 31, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

(Middle)

(Zin)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person *

Connolly Thomas

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

VERTEX PHARMACEUTICALS INC / MA [VRTX]

3. Date of Earliest Transaction

(Month/Day/Year)

10/29/2013

Estimated average

burden hours per

OMB

Number:

Expires:

response...

OMB APPROVAL

3235-0287

January 31,

2005

0.5

(Check all applicable)

5. Relationship of Reporting Person(s) to

10% Owner Director X_ Officer (give title Other (specify

below) SVP, Human Resources

C/O VERTEX **PHARMACEUTICALS** INCORPORATED, 130 WAVERLY

(State)

(First)

ST.

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

CAMBRIDGE,, MA 02139

(City)	(State)	Table Table	e I - Non-D	erivative	Secur	rities Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/29/2013		Code V M	Amount 938	or (D)	Price \$ 53.74	(Instr. 3 and 4) 5,940	D	
Common Stock	10/29/2013		S <u>(1)</u>	100	D	\$ 72.68	5,840	D	
Common Stock	10/29/2013		S <u>(1)</u>	1,000	D	\$ 75 (2) (3)	4,840	D	
Common Stock	10/29/2013		S <u>(1)</u>	588	D	\$ 75.5 (3) (4)	4,252	D	

Common Stock

150

I

401(k)

SEC 1474

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 53.74	10/29/2013	M	938	<u>(5)</u>	09/03/2022	Common Stock	938	\$

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Connolly Thomas

C/O VERTEX PHARMACEUTICALS INCORPORATED

130 WAVERLY ST.

CAMBRIDGE,, MA 02139

SVP, Human Resources

Signatures

Kenneth L. Horton, Attorney-In-Fact

10/31/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Transaction made pursuant to Mr. Connolly's company approved trading plan under Rule 10b5-1.
- (2) Open market sales reported on this line occurred at a weighted average price of \$75.00 (range \$74.32 to \$75.24).
- (3) Mr. Connolly undertakes to provide (upon request by the SEC staff, the issuer or a security holder of the issuer) full information regarding the number of shares sold at each separate price.
- (4) Open market sales reported on this line occurred at a weighted average price of \$75.50 (range \$75.39 to \$75.60).
- (5) The option vests in 16 quarterly installments from 09/04/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.