## Edgar Filing: SPLUNK INC - Form 4

SPLUNK IN	C											
Form 4												
November 22	2, 2013											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287				
Check the	is box		vv a	sinington,	, <b>D.C.</b> 2(	547				January 31,		
if no long		EMENT O	F CHAN	IGES IN	BENEF	ICIA		NERSHIP OF	Expires: 20			
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OW Sector 16.							Estimated average burden hours per					
Form 4 o					SECONTIES					response 0.5		
Form 5	Filed p	ursuant to S	Section 1	6(a) of th	e Securi	ties E	Exchange	e Act of 1934,	•			
obligation may cont		7(a) of the	Public U	tility Hole	ding Cor	npan	y Act of	1935 or Section	ı			
See Instru		30(h)	of the In	vestment	Compar	ny Ac	ct of 194	0				
1(b).												
(Print or Type I	Pernonses)											
(I fint of Type I	(csponses)											
1. Name and Address of Reporting Person <sup>*</sup> 2. Issuer				r Name <b>and</b> Ticker or Trading			nσ	5. Relationship of Reporting Person(s) to				
Neustaetter Thomas M Symbol								Issuer				
-				K INC [SPLK]				(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	f Earliest Ti	ransaction			(Check	c all applicable	)		
			Day/Year)				X Director 10% Owner					
C/O JK&B CAPITAL, TWO 11/20/20				-				Officer (give title Other (specify below)				
	IAL PLAZA, 1	80 N.						below)	Delow)			
STETSON I	DRIVE											
	(Street)		4. If Ame	endment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Mor			nth/Day/Year)				Applicable Line)					
CHICAGO, IL 60601								_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
CHICAGO,	IL 00001							Person				
(City)	(State)	(Zip)	Tab	e I - Non-I	Derivative	Secu	rities Acqu	uired, Disposed of	or Beneficial	y Owned		
1.Title of	2. Transaction Da	ate 2A. Deer	ned	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Yea	n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					Securities	Ownership	Indirect			
(Instr. 3)							Beneficially Owned	Form: Direct Benefit (D) or Owner	Beneficial Ownership			
		(WOIIII/L	Jay/Teal)	r) (Instr. 8)				Following		(Instr. 4)		
						(A)		Reported	(Instr. 4)			
						or		Transaction(s) (Instr. 3 and 4)				
				Code V	Amount	(D)	Price	(msu. 5 and 4)				
Common	11/20/2012			<b>C</b> (1)	1 500	D	\$	95 102	D			
Stock	11/20/2013			S <u>(1)</u>	1,500	D	62.982 (2)	85,103	D			
							(-)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivativ Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
		Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

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	Relationships					
Reporting Owner Name / Ad	K&B CAPITAL, TWO PRUDENTIAL PLAZA STETSON DRIVE	Director	10% Owner	Officer	Other	
Neustaetter Thomas M C/O JK&B CAPITAL, TWO PRUDE 180 N. STETSON DRIVE CHICAGO, IL 60601	NTIAL PLAZA	Х				
Signatures						
/s/ Steve Dean, by power of attorney	11/21/2013					
**Signature of Reporting Person	Date					

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 4, 2013.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.53
- (2) to \$63.50, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.