Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx Pharmaceutical Inc. Form 4 February 11, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kassberg Thomas Richard Issuer Symbol Ultragenyx Pharmaceutical Inc. (Check all applicable) [RARE] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X__Officer (give title (Month/Day/Year) below) below) C/O ULTRAGENYX 02/05/2014 Chief Business Officer & SVP PHARMACEUTICAL INC., 60 LEVERONI COURT (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **NOVATO, CA 94949** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities (Instr. 3) Code Beneficially (D) or any (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common 02/05/2014 C D 31,454 A (1)125,804 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3235-0287

January 31,

10% Owner

Other (specify

Beneficial

Ownership

(Instr. 4)

2005

0.5

1. Title of Derivative Security (Instr. 3)	or Exercise any		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Deri Secu (Ins
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Preferred Stock	<u>(1)</u>	02/05/2014		С	9	98,595	<u>(1)</u>	<u>(1)</u>	Common Stock	31,454 (2)	
Reporting Owners											
Reporting Owner Name / Address			s	Relationships							
				Director 10% Owner Officer				Other			
Kassberg Thomas Richard C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949			ICAL INC.	Chief Business Officer & SVP							
Signat	tures										
By: /s/ Ry Kassberg	By: /s/ Ryan Murr by power of attorney for Thomas Kassberg			02/06/2014							
**Signature of Reporting Person				Date							
Explanation of Responses:											

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- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Series A Convertible Preferred Stock converted into the Issuer's common stock on a 1 for 3.1345 basis, such that every 3.1345 shares (1) of Series A Convertible Preferred Stock converted into one share of common stock, and had no expiration date.
- (2) Reflects a 1-for-3.1345 reverse stock split which became effective on January 17, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.