## Edgar Filing: ZOGENIX, INC. - Form 4

ZOGENIX, INC. Form 4												
May 23, 2014												
FORM 4	UNITED	статес	SECU	DITIES	A NID E	VCU		COMMISSIO	A.T.	R AI	PPROVA	۸L
	UNITED	SIAILS		shington					N OMB Numbe	er:	3235-	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Filed pur	<b>CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> ection 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						Estima burder respon	Estimated average burden hours per response		ry 31, 2005 0.5	
(Print or Type Respon	ises)											
1. Name and Address BOCK LOUIS C	2. Issuer Name <b>and</b> Ticker or Trading Symbol ZOGENIX, INC. [ZGNX]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) 950 TOWER LANE, SUITE 700			3. Date of Earliest Transaction (Month/Day/Year) 05/21/2014			X_ Director 10% Owner Officer (give title Other (specify below) below)						
(Street) FOSTER CITY, CA 94404			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>						
		(Zin)										
(City) (3	state)	(Zip)	Tab	ole I - Non-	Derivat	ive Sec	urities A	cquired, Disposed	of, or Bene	ficial	lly Ownee	d
	nsaction Date th/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	Dispos (Instr.	red (A) sed of ( 3, 4 an (A or	D) d 5) )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownersh Form: Dire (D) or Indi (I) (Instr. 4)	ct rect	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al iip
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	Per info req dis	sons ormati uired	who res on cont to resp	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	S	EC 1474 (9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(]

	Derivative Security				or Disposed (D) (Instr. 3, 4, and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.94	05/21/2014	А		50,000		<u>(1)</u>	05/21/2024	Common Stock	50,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships							
	Director	10% Owner	Officer	Other					
BOCK LOUIS C 950 TOWER LANE, SUITE FOSTER CITY, CA 94404	E 700	Х							
Signatures									
/s/ Louis Bock	05/22	/2014							
<u>**</u> Signature of	Dat	te							

## **Explanation of Responses:**

Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in 12 equal monthly installments from May 21, 2014.

Pursuant to the policies of Scale Venture Partners II, LP and Scale Venture Management II, LLC (together "Scale Partners") and Scale Management LLC ("Scale Management") the Reporting Person is deemed to hold the reported option for the benefit of Scale

(2) Management, and must exercise the option solely at the direction of Scale Partners. Scale Management may be deemed the indirect beneficial owner of the option. The Reporting Person disclaims beneficial ownership of the option except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.