Edgar Filing: Builders FirstSource, Inc. - Form 4

Builders FirstSource, Inc. Form 4 November 25, 2015

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Warburg Pincus Private Equity IX, Issuer Symbol

L.P. Builders FirstSource, Inc. [BLDR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify Officer (give title C/O WARBURG PINCUS LLC, 450 11/25/2015 below) below) LEXINGTON AVENUE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person X_Form filed by More than One Reporting NEW YORK, NY 10017 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 7. Nature of 3. 6. Transaction Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common

Stock, par value 11/25/2015 S 7,000,000 D $\frac{$}{13.05}$ 13,263,266 D $\frac{(1)}{(2)}$ $\frac{(3)}{(3)}$ share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Builders FirstSource, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	(Month/Day/Year) rivative purities quired or posed D) str. 3,		Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Warburg Pincus Private Equity IX, L.P. C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
Warburg Pincus IX GP L.P. C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
WPP GP LLC C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
Warburg Pincus Partners, L.P. C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
Warburg Pincus Partners GP LLC C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
WARBURG PINCUS & CO. 450 LEXINGTON AVENUE NEW YORK, NY 10017		Х				
WARBURG PINCUS LLC		Х				

450 LEXINGTON AVENUE NEW YORK, NY 10017

KAYE CHARLES R C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017

Landy Joseph P. C/O WARBURG PINCUS LLC 450 LEXINGTON AVENUE NEW YORK, NY 10017

Х

Х

Signatures

WARBURG PINCUS PRIVATE EQUITY IX, L.P., By: Warburg Pincus IX GP LP, its GP, By: WPP GP LLC, its GP, By: Warburg Pincus Partners L.P., its MM, By: Warburg Pincus	11/25/2015
Partners GP LLC, its GP, By: Warburg Pincus & Co., its MM, By: /s/ Robert B. Knauss, Partner	11/25/2015
<u>**</u> Signature of Reporting Person	Date
WARBURG PINCUS IX GP L.P., By: WPP GP LLC, its GP, By: Warburg Pincus Partners, L.P., its Managing Member, By: Warburg Pincus Partners GP LLC, its GP, By: Warburg Pincus & Co., its Managing Member, By: /s/ Robert B. Knauss, Partner	11/25/2015
<u>**</u> Signature of Reporting Person	Date
WPP GP LLC, By: Warburg Pincus Partners, L.P., its Managing Member, By: Warburg Pincus Partners GP LLC, its General Partner, By: Warburg Pincus & Co., its Managing Member, By: /s/ Robert B. Knauss, Partner	11/25/2015
**Signature of Reporting Person	Date
WARBURG PINCUS PARTNERS, L.P., By: Warburg Pincus Partners GP LLC, its General Partner, By: Warburg Pincus & Co., its Managing Member, By: /s/ Robert B. Knauss, Partner	11/25/2015
<u>**</u> Signature of Reporting Person	Date
WARBURG PINCUS PARTNERS GP LLC, By: Warburg Pincus & Co., its Managing Member, By: /s/ Robert B. Knauss, Partner	11/25/2015
**Signature of Reporting Person	Date
WARBURG PINCUS & CO., By: /s/ Robert B. Knauss, Partner	11/25/2015
**Signature of Reporting Person	Date
WARBURG PINCUS LLC, By: /s/ Robert B. Knauss, Managing Director	11/25/2015
**Signature of Reporting Person	Date
CHARLES R. KAYE, By: /s/ Robert B. Knauss, Attorney-in-Fact*	11/25/2015
**Signature of Reporting Person	Date
JOSEPH P. LANDY, By: /s/ Robert B. Knauss, Attorney-in-Fact*	11/25/2015
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed on behalf of (i) Warburg Pincus Private Equity IX, L.P., a Delaware limited partnership ("WP IX"); (ii) Warburg Pincus IX GP L.P., a Delaware limited partnership ("WP IX GP"), the general partner of WP IX; (iii) WPP GP LLC, a Delaware limited liability company ("WPP GP"), the general partner of WP IX GP; (iv) Warburg Pincus Partners, L.P., a Delaware limited partnership ("WP GP; (v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited partnership ("WP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company for the sole member of WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company for the sole member of WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP; v) Warburg Pincus Partners GP LLC, a Delaware limited liability company ("WPP GP LLC, a Del

(1) partners in ("WP Partners"), the sole member of WPP OF, (v) warburg Pincus Partners OF LLC, a Delaware innited habitry company ("WP Partners GP"), the general partner of WP Partners; (vi) Warburg Pincus & Co., a New York general partnership ("WP"), the managing member of WP Partners GP; (vii) Warburg Pincus LLC, a New York limited liability company ("WP LLC"), which manages WP IX; and (viii) Charles R. Kaye and Joseph P. Landy, who are Managing General Partners of WP and Managing Members and Co-Chief Executive Officers of WP LLC and may be deemed to control the entities listed in clauses (i) through (vii).

All shares of common stock, par value \$0.01 per share (the "Common Stock"), of the Company that are reported herein are held by WP IX. By reason of the provisions of Rule 16a-1 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), WP IX GP,

- (2) WPP GP, WP Partners, WP Partners GP, WP, WP LLC, Mr. Kaye and Mr. Landy may be deemed to be the beneficial owners of any securities that may be beneficially owned by WP IX. Each of WP IX GP, WPP GP, WP Partners, WP Partners GP, WP, WP LLC, Mr. Kaye and Mr. Landy disclaim beneficial ownership of all shares of Common Stock that may be deemed to be beneficially owned by WP IX, except to the extent of any indirect pecuniary interest therein. (Continued in Footnote 3)
- (Continued from Footnote 2) This Form 4 shall not be deemed an admission that any reporting person, other than WP IX, or any other person referred to herein is a beneficial owner or any shares of Common Stock for purposes of Section 16 of the Exchange Act or for any other purpose or that any reporting person, other than WP IX, or other person has an obligation to file this Form 4.

Remarks:

* The Power of Attorney given by each of Mr. Kaye and Mr. Landy was previously filed with the U.S. Securities & Exchange

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.