

HALCON RESOURCES CORP

Form 4

March 01, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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 Check this box  
 if no longer  
 subject to  
 Section 16.  
 Form 4 or  
 Form 5  
 obligations  
 may continue.  
*See Instruction*  
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
 SECURITIES**

 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

 1. Name and Address of Reporting Person \*  
 Cusack Charles E III

 2. Issuer Name **and** Ticker or Trading  
 Symbol  
 HALCON RESOURCES CORP  
 [HK]

 5. Relationship of Reporting Person(s) to  
 Issuer

(Check all applicable)

 (Last) (First) (Middle)  
 1000 LOUISIANA STREET, SUITE  
 6700

 3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/26/2016

 \_\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP, Chief Operating Officer

 (Street)  
 HOUSTON, TX 77002

 4. If Amendment, Date Original  
 Filed(Month/Day/Year)

 6. Individual or Joint/Group Filing(Check  
 Applicable Line)  
☒ Form filed by One Reporting Person  
 \_\_\_\_\_ Form filed by More than One Reporting  
 Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/26/2016		F <sup>(1)</sup>	Amount (2) 3,576 Price (D) \$ 0.4911	196,419 (2)	D	
Common Stock	02/27/2016		F <sup>(3)</sup>	Amount (2) 1,308 Price (D) \$ 0.4911	195,111 (2)	D	
Common Stock	02/28/2016		F <sup>(4)</sup>	Amount (2) 1,494 Price (D) \$ 0.4911	193,617 (2)	D	
Common Stock					4,000 (2)	I	By LP (5)
Common Stock					4,667 (2)	I	By Cusack Ranch

Corporation  
(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Cusack Charles E III 1000 LOUISIANA STREET, SUITE 6700 HOUSTON, TX 77002	EVP, Chief Operating Officer

## Signatures

David S. Elkouri,  
Attorney-in-fact 03/01/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares withheld to satisfy withholding taxes applicable upon vesting of the February 26, 2015 restricted stock grant.

(2) This amount represents the number of shares calculated after taking into account a 1-for-5 reverse stock split which was consummated on December 28, 2015.

(3) Represents shares withheld to satisfy withholding taxes applicable upon vesting of the February 27, 2014 restricted stock grant.

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- (4) Represents shares withheld to satisfy withholding taxes applicable upon vesting of the February 28, 2013 restricted stock grant.
- (5) Shares owned by a family limited partnership of which the reporting person and his spouse are general partners. The reporting person disclaims beneficial ownership of any securities in which he does not have a pecuniary interest.  
  
The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the
- (6) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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