Edgar Filing: PPG INDUSTRIES INC - Form 3

PPG INDUSTRIES INC

Form 3

March 10, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

Expires:

response...

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement PPG INDUSTRIES INC [PPG] KNAVISH TIMOTHY M (Month/Day/Year) 03/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PPG INDUSTRIES. (Check all applicable) INC., ONE PPG PLACE (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Sr. VP, Automotive Coatings Person PITTSBURGH, Â PAÂ 15272 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 19,165 Common Stock 4,524.938 (1) I Employer 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
(111841.4)	• •	·			
		(Instr. 4)	Price of	Derivative	(Instr. 5)

Edgar Filing: PPG INDUSTRIES INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (2)	08/01/2015	07/31/2022	Common Stock	1,600	\$ 54.03	D	Â
Employee Stock Options (2)	02/20/2016	02/19/2023	Common Stock	7,700	\$ 65.76	D	Â
Employee Stock Options (2)	02/19/2017	02/18/2024	Common Stock	6,000	\$ 93.53	D	Â
Employee Stock Options (2)	02/18/2018	02/17/2025	Common Stock	4,800	\$ 118.12	D	Â
Employee Stock Options (2)	02/17/2019	02/16/2026	Common Stock	9,300	\$ 95	D	Â
Phantom Stock Units	(3)	(3)	Common Stock	4,435.4531 (4)	\$ <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
rg -	Director	10% Owner	Officer	Other	
KNAVISH TIMOTHY M PPG INDUSTRIES, INC. ONE PPG PLACE PITTSBURGH. PA 15272	Â	Â	Sr. VP, Automotive Coatings	Â	

Signatures

/s/ Greg E. Gordon, Attorney-in-Fact for Timothy M. Knavish

03/10/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total amount of shares held for the reporting person in the reporting person's account in the PPG Industries Employee Savings Plan as of March 1, 2016.
- (2) Right to buy granted under the PPG Industries, Inc. Amended and Restated Omnibus Incentive Plan.
- (3) After termination of employment with PPG.
- (4) Total of all phantom stock units held by the reporting person in the PPG Industries, Inc. Deferred Compensation Plan.
- (5) The security converts to common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2