#### BARRACUDA NETWORKS INC

Form 4/A August 12, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ALLEN JEFFRY R

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

BARRACUDA NETWORKS INC

(Check all applicable)

[CUDA]

(Last) (First) 3. Date of Earliest Transaction

X\_ Director 10% Owner Officer (give title Other (specify

(Month/Day/Year) 08/10/2016

C/O BARRACUDA NETWORKS INC., 3175 S. WINCHESTER BLVD.

(Street)

4. If Amendment, Date Original

3.

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) 08/10/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CAMPBELL, CA 95008

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported

168,006 <sup>(2)</sup>

Transaction(s) (Instr. 3 and 4)

Code V Amount (D)

(1)

Common 08/10/2016 Stock

Price 1,712 \$0 Α

(A)

I

Trust (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: BARRACUDA NETWORKS INC - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to	\$ 21.9	08/10/2016		A	11,330	08/10/2017(4)	08/10/2026	Common Stock	11,330

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ALLEN JEFFRY R C/O BARRACUDA NETWORKS INC. 3175 S. WINCHESTER BLVD. CAMPBELL, CA 95008	X					
0!						

## **Signatures**

buy)

/s/ Diane Honda, Attorney-in-Fact for Jeffry R.
Allen

08/12/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are represented by restricted stock units which vest in three equal annual installments beginning on August 10, 2017.
- (2) Includes 1,359 shares previously report as held directly by the Reporting Person which were re-registered and are now held directly by The Jeffry & Terri Allen Revocable Trust dated January 29, 2002 for which the Reporting Person serves as a trustee.
- (3) The shares are held directly by The Jeffry & Terri Allen Revocable Trust dated January 29, 2002 for which the Reporting Person serves as a trustee.
- (4) Shares subject to the option vest in three equal annual installments beginning on Aug 10, 2017.

#### **Remarks:**

This amendment to form 4 corrects the vesting on the reported option and restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2