Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 September 13, 2016

| September 13 | , 2016 | | | | | | | | | | |
|---|---|---|---------------------------------|---|---|----------|---------------------|--|---|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | Number: | 3235-0287 | | | |
| if no longer subject to Section 16. SECURITIES SECURITIES 200 Section 16. SECURITIES | | | | | | | | | irs per | | |
| (Print or Type Re | esponses) | | | | | | | | | | |
| 1. Name and Ad SALERNO F | er Name and | | | - | 5. Relationship of Reporting Person(s) to Issuer(Check all applicable) | | | | | | |
| (Last) | (First) (Mi | iddle) 3. Date | 3. Date of Earliest Transaction | | | | | | cex an appreable) | | |
| 5660 NEW N | /Day/Year) 2016 | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | | |
| | nendment, Dat onth/Day/Year) | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | |
| ATLANTA, GA 30328 | | | | | | | porting | | | | |
| (City) | (State) (Z | Zip) Ta | ble I - Non-D | erivative S | Securi | ties Ac | quired, Disposed of | f, or Beneficial | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, any (Month/Day/Yea | Code r) (Instr. 8) | 4. Securi onAcquirec Disposec (Instr. 3, Amount | l (A) c l of (D 4 and (A) or |) | Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 09/09/2016 | | G | 1,799 (1) | D | \$ 0 | 1,400 <u>(2)</u> | D | | | |
| Reminder: Report | rt on a separate line f | or each class of se | curities benefi | cially own | ed dire | ectly or | indirectly. | | | | |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|-----|---------------------|--------------------|---|--|---|--|
| | | | Code V | 7 (A) (| (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| SALERNO FREDERIC V 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/Andrew J. Surdykowski, Attorney-in-fact | 09/13/2016 | | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction involved a gift of 1,799 shares of the issuer's common stock by the reporting person to a philanthropic organization.
- (2) The common stock number referred in Table I is an aggregate number and represents 727 shares of common stock and 673 restricted stock units of the Issuer. The restricted stock units vested on the one-year anniversary of the grant date which was May 13, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.